



Ministry of
JUSTICE

Surveying Prisoner Crime Reduction (SPCR)

Wave 1 (Reception) Samples 1 and 2
Technical Report

**Andrew Cleary, Ashley Ames, Katya Kostadintcheva
and Hayley Muller**

Ipsos MORI

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1. Introduction

1.1 About SPCR

Surveying Prisoner Crime Reduction (SPCR) is a longitudinal study which aimed to track the progress of 4,000 newly sentenced prisoners in England and Wales from 2005 to 2010. At the time it was the largest survey of prisoners ever undertaken in Britain. Ipsos MORI was commissioned to carry out the survey by the Research Development and Statistics Directorate (RDS) National Offender Management Service (NOMS) at the Home Office, now Offender Management and Sentencing Analytical Services (OMSAS) at the Ministry of Justice (MoJ).

The broad aim of SPCR was to explore how interventions might work in combination to address the range of prisoners' needs. More specifically, SPCR aimed to assess prisoners' problems and needs on reception, how these are addressed during and after custody and the combined effect of any interventions on offending and other outcomes, in light of prisoners' background characteristics, after release from prison.

1.2 Research design

This report focuses in detail on the first stage of interviews ("reception" stage) of the study, undertaken with an overall sample of 3,849 prisoners in two parts: a representative sample of 1,435 prisoners (Sample 1) sentenced up to four years, and a sample comprising 2,414 prisoners sentenced to between 18 months and four years (Sample 2). The rationale of Sample 2 was to interview more prisoners who had been in custody long enough to undertake prison interventions. In addition to this element the study involved three further stages of interviews (a longitudinal design):

- pre-release interviews, conducted shortly before release
- post-release two-month interviews, conducted in the community one to two months after release
- post-release six-month interviews, conducted in the community six months after release (Sample 2 only).

1.3 Structure of the technical report

This report relates to the reception stage of interviewing on SPCR. It describes the methodology and processes employed for sampling, fieldwork, data processing and data outputs. The report does not contain survey results.

Following this introductory chapter, chapter 2 discusses the sampling methodology, the challenges faced in building a sampling frame of new reception prisoners, and changes that were made to the sampling specification during the initial phases of the project. It also gives an outline of sampling processes in practice, reviews the sampling profile obtained and discusses sampling efficiency and potential non-response bias.

Chapter 3 outlines the content of the reception questionnaire, dealing with collection of sensitive information, and the in-interview checks performed to ensure respondent eligibility.

Chapter 4 provides details of fieldwork, covering interviewer briefings and security clearance to work in prisons, and gives the fieldwork dates and interviewer daily strike rates for each prison. This section also describes the processes of arranging interviews in prisons.

Chapter 5 describes the data protection measures that were enacted to ensure that SPCR complied fully with data protection legislation. This was crucial given the sensitive nature of the data obtained.

Chapter 6 covers data outputs and the validation processes undertaken to deliver accurate reception datasets. This section also covers the Prison Service prisoner information that has been linked to the data to provide additional tools for analysis.

The Appendix contains the survey questionnaire.

2. Sampling

2.1 Sample design

The SPCR sampling strategy was informed by a feasibility study conducted by the National Centre for Social Research (Natcen).¹ The sample size itself was determined by RDS NOMS data analysis requirements; a large enough sample was required to conduct analysis at a suitable level of detail (i.e. offender characteristics and types of interventions undertaken). To this end, an overall sample of 4,000 offenders was proposed, comprising:

- a representative sample of 1,500 offenders, sentenced to a maximum of four years (Sample 1)
- a sample of 2,000 male and 500 female prisoners, sentenced to between 18 months and four years (Sample 2, acknowledging that approximately two-thirds of offenders in the Sample 1 would be sentenced to a year or less, and thus have limited opportunity to attend in-custody interventions).

Survey coverage

The survey's coverage for both samples was defined as follows.

- Convicted adults (18+) sentenced to serve an immediate custodial sentence, interviewed as soon as possible after reception into custody (two weeks after reception was recommended as a suitable short-end limit, to give prisoners time to “detox” and adjust to prison life; and four weeks was chosen as the upper range to provide sufficient numbers for the survey).
- Prisoners sentenced up to a maximum of four years, and in effect over one month for Sample 1 (and 18 months for Sample 2), as the two-week requirement above meant that in practice it was very unlikely that any prisoners on a sentence of less than one month would be picked up (given that most prisoners serve half their sentence lengths in custody).
- Foreign nationals subject to deportation were ineligible.

Sampling sources

The feasibility study explored the use of monthly data extracts from the Inmate Information System (IIS) or Local Inmate Data System (LIDS) as sampling data sources. It concluded that the IIS, which includes data on all prisoners in custody across the estate, would be

¹ Anderson, T. and Arch, J. (2004) *Surveying Prisoner Crime Reduction (SPCR) feasibility study technical report*, National Centre for Social Research.

appropriate to identify an overall sampling frame, but that LIDS, local to each prison and the only constantly up-to-date prisoner data source, could be used to select individuals for the study.

The IIS was problematic as a main sampling source, as reports of the data were compiled monthly (at the time) and hence provided details of the prison population as it stood at the end of the month. The data took an additional two weeks to compile following month end. As the prison population is highly transient many prisoners would have transferred elsewhere in the intervening period and there would be a high chance of selecting prisoners who had moved on by the time an interviewer day could be arranged in the prison. This time lag would also limit the numbers of prisoners on very short sentences recruited to the study, as many of these would have been released. On the other hand, LIDS was updated continuously and hence provided an accurate picture of a prison's population on a particular day.

Sampling frame

The project brief originally suggested randomly selecting reception establishments with probability proportional to size. However, it was decided at the outset that it would be beneficial to target the maximum number of establishments as ultimately cooperation would be needed from all of them. Thus, interview targets were set for every reception prison (barring those with very low monthly throughput) based on the proportional sizes of receptions at each.

The most recent IIS receptions throughput data was used to determine targets, based on available average receptions for the three months prior to fieldwork at each establishment (June to August 2005 data was supplied by RDS NOMS for this purpose). As monthly throughput is highly variable across the estate, and most prisons were at or near capacity over the fieldwork period, recent data was found to be a more reliable measure of likely throughput than, say, data from the same month a year previously. Those with an average monthly throughput of 10 prisoners or less for the preceding three months were excluded,² and targets were set to over-sample by roughly 20% to allow for the possibility that some prisons would prove difficult to access.

² This design resulted in a loss of coverage of less than 1% of all eligible receptions.

Table 2.1 shows the prisons selected and interview targets assigned to each for Sample 1, based on expected throughput shown by the IIS. It also shows the numbers of interviews achieved at each. Interviews were not conducted at all targeted prisons and, for reasons of pragmatism, in some cases the study went over target (see section 2.1 for a further explanation of this). Sample 1 reception interviews were conducted across 40 prisons from a total of 53 reception prisons eligible for the study. Section 2.2 discusses the checks that have been made on the representativeness of this large sample of reception prisons.

Table 2.1: Interview targets against achieved, Sample 1 receptions

Prison	Target	Achieved	Prison	Target	Achieved
Prison 1	50	55	Prison 28	47	15
Prison 2	27	41	Prison 29	38	-
Prison 3	38	-	Prison 30	73	80
Prison 4	77	40	Prison 31	28	-
Prison 5	59	5	Prison 32	20	-
Prison 6	19	23	Prison 33	24	-
Prison 7	35	10	Prison 34	56	52
Prison 8	32	34	<i>Prison 35</i>	16	-
<i>Prison 9</i>	10	11	Prison 36	46	44
<i>Prison 10</i>	19	31	<i>Prison 37</i>	23	25
Prison 11	27	63	Prison 38	37	-
Prison 12	38	52	Prison 39	42	44
Prison 13	17	11	Prison 40	23	22
Prison 14	45	51	Prison 41	48	-
Prison 15	66	197	<i>Prison 42</i>	15	21
Prison 16	22	22	Prison 43	18	11
Prison 17	39	11	Prison 44	43	48
<i>Prison 18</i>	16	-	Prison 45	20	-
Prison 19	45	50	Prison 46	23	10
Prison 20	21	21	Prison 47	15	28
Prison 21	37	-	<i>Prison 48</i>	30	-
<i>Prison 22</i>	10	25	Prison 49	27	36
Prison 23	36	91	Prison 50	37	47
Prison 24	21	28	Prison 51	35	44
Prison 25	34	53	Prison 52	49	16
<i>Prison 26</i>	28	30	Prison 53	35	-
Prison 27	27	31	Total	1,763	1,539

Source: Ipsos MORI

Women's prisons are shown in italics

Note: some of the interviews above have been excluded from the final Sample 1 – as discussed later in this report (see section 2.2).

Eligible numbers in practice

The first interviews were scheduled to take place at Prison 50 in November 2005 to test some of the practicalities of sampling via LIDS, as well as processes for interviewers (including the CAPI (computer assisted personal interviewing) script). Following this, the survey would be rolled out elsewhere. The initial sampling exercises at the prison produced around half the numbers expected based on monthly throughput data from the IIS; LIDS identified 46 eligible prisoners received over the relevant two-week period, whereas the IIS subsequently gave the throughput for the month of November as 183 (and thus around half of this would have been expected over a two-week intake period).

The discrepancy is explained by differences in the way the IIS counts receptions compared with LIDS. The IIS is an aggregated picture of all new receptions, whereas LIDS is a snapshot on any given date of how many receptions in an individual prison. The requirement of the study that a prisoner is both a fairly recent reception, and also sentenced, excluded a fairly substantial number of prisoners who were convicted and sentenced after spending a significant period of time in custody (using the LIDS query devised for the study a prisoner sentenced a month after reception into that prison would not be sampled – see section 2.2). The overall IIS figures on the other hand included all prisoners received into custody irrespective of how long they had spent unsentenced.

This issue had varying degrees of impact across different prisons. Some prisons such as Prison 13 had a very high number of non-sentenced prisoners, and also handled a large number of recalls. In Prison 45 all reception prisoners were transferred immediately following sentencing on a twice-weekly basis; when the LIDS query was run at this prison it identified no one eligible for the study and as a result reception interviews were not conducted there.

Table 2.2 shows a comparison between IIS and LIDS sample numbers. The date of the first sample provision at each prison is given, with numbers of eligible prisoners sampled. The numbers of eligible prisoners expected, based on the IIS, are provided alongside the LIDS numbers. Two figures are presented: the three-month average prior to fieldwork, on which interview targets were based, and the figure for the applicable (sampling) month, provided retrospectively by NOMS. This demonstrates that the level of intake was reasonably stable from forecast figures to the month of sampling, but that the numbers provided by LIDS varied considerably in most cases.

Table 2.2: Numbers of eligible prisoners sampled at first draw, against numbers predicted from IIS

Prison	Date of first sample draw	Number eligible (LIDS)	IIS (Jun–Aug 2005 average)	IIS (sample draw month)
Prison 1	24/11/2005	11	91	85
Prison 2	22/02/2006	43	33	28
Prison 4	08/08/2006	38	111	160 ¹
Prison 5	13/03/2006	34	83	91
Prison 6	13/03/2006	5	31	38
Prison 8	01/02/2006	40	49	63
Prison 9	15/03/2006	12	21	26
Prison 10	27/01/2006	6	29	43
Prison 11	20/02/2006	11	33	45
Prison 12	01/12/2005	32	58	46
Prison 13	01/12/2005	12	25	22
Prison 14	18/01/2006	11	65	73
Prison 15	09/01/2006	34	95	116
Prison 16	08/06/2006	13	34	40
Prison 17	10/07/2006	33	54	67 ¹
Prison 19	17/02/2006	25	61	57
Prison 20	03/05/2006	41	32	36
Prison 22	12/01/2006	4	9	7
Prison 23	09/01/2006	30	60	51
Prison 24	21/03/2006	15	33	29
Prison 25	15/03/2006	25	57	68
Prison 26	18/05/2006	34	47	53
Prison 27	24/11/2005	30	48	47
Prison 28	27/03/2006	22	78	77
Prison 30	06/04/2006	90	96	78
Prison 34	03/04/2006	82	78	79
Prison 39	24/02/2006	21	70	70
Prison 40	10/05/2006	30	39	33
Prison 54	22/05/2006	74	49	63
Prison 44	22/03/2006	19	60	76
Prison 45	-	-	32	-
Prison 46	24/07/2006	38	34	49 ¹
Prison 47	23/06/2006	23	17	13
Prison 49	11/04/2006	25	48	29
Prison 50	12/11/2005	46	51	79
Prison 51	09/01/2006	10	52	65
Prison 52	31/07/2006	35	63	59 ¹
Prison 53	11/04/2006	66	57	73
Total	24/11/2005	1,120	1,921	2,127

Source: Ipsos MORI

No reception interviews were conducted at Prisons 45 and 53

¹ Based on June 2006 IIS data

Although this issue was picked up early in the project, original targets were maintained, as it was crucial to give prisons an idea of the workload involved with the project in advance. Given the unpredictable levels of sample and unexpectedly long lead times at some prisons before interviewing could begin, the approach ultimately taken was pragmatic and included going over target at some of the more willing prisons which had sufficient prisoners to

accommodate interviewers frequently. This overrepresentation of some prisons and underrepresentation of others allowed potential bias in the sample.

Adjustments to sampling eligibility

A weakness of sampling using LIDS was that prisoners' date of first reception into custody at any prison was not available, only the date when they were received into their current prison. The date of conviction is the earliest date in a prisoner's sentence included in LIDS, and was used in the study as a means for determining whether a prisoner had already spent a significant amount of their sentence elsewhere.

Checks were carried out by Ipsos MORI to filter out such prisoners. Initially a date of conviction that was no more than a month before the date of reception was deemed acceptable. However, this strategy yielded very few longer-sentenced prisoners because the flow of prisoners into prisons is skewed to short-termers, so the following adjustments were made:

- prisoners on sentences over 18 months were sampled providing date of conviction was no more than three months before date of reception (this check was carried out manually, with the proviso that applicable prisoners still have a significant portion of their sentences left to serve);³ and
- sample lists were sorted in order of the longest-sentenced prisoners before being sent back to prisons, to attempt to place a higher priority on these prisoners.

Even with these adjustments longer-sentenced prisoners were still heavily under-represented; at the 350 interviews stage, when this issue was first raised with RDS NOMS, 8% of the sample comprised 18-month to four years sentenced prisoners, compared with 19% for the population.

The difficulty appeared to be that prisoners on longer sentences spend longer in custody before they are convicted and sentenced, and so, by the time it was possible to determine who was sentenced to no more than four years, long-termers were more likely to be excluded because of the amount of time they had already spent in prison. Even though the sample was ultimately drawn via LIDS at most reception prisons, the numbers yielded were

³ This has retrospectively been relaxed to up to six months (during data eligibility checks), in line with the requirements of Sample 2-sampled respondents (as some of these have been added to Sample 1). The tighter LIDS controls described in this section were adhered to throughout Sample 1 sampling, but in practice these mechanisms allowed some prisoners with longer than expected time in custody to be interviewed (see section 2.2).

always below what the IIS suggested there should be within the 18 month to four years range. This appears to be due to the IIS and LIDS counting new receptions differently – by the time an individual is sentenced they may have moved on from their original “reception prison”, although the IIS still counts them as a reception there.

As Sample 2 was made up of 18-month to four years sentenced prisoners only, the feasibility of using the IIS and sampling in a mixture of reception and non-reception prisons was explored and adopted for this sample. It was agreed that eligibility for Sample 2 should be extended to include prisoners in custody for up to six months. The IIS is able to determine this accurately as it includes a prisoner’s first date of reception into custody.

To overcome difficulties with sampling prisoners on longer sentences using LIDS, a number (105) of the initial Sample 2 respondents were included in Sample 1 to better reflect the receptions profile of prisoners (by sentence length). Some of these interviews were conducted at non-reception prisons (see section 4.5).

An additional difficulty with the available prisoner data (LIDS and the IIS) is that it does not provide information about which foreign nationals are likely to be deported at the end of their sentences, because this decision is often made near the end of the sentence. Thus, the survey relied on prison staff to determine this requirement, with a limited degree of success.

2.2 Sample 1 sampling process

LIDS information and checks

The feasibility study found that sampling via LIDS is difficult to manage: access to the system is limited to a small number of staff at each prison and lists are often incorrect or contain incomplete information. Therefore, as recommended by the feasibility study, a LIDS query was devised that could be entered onto prisons’ IT systems, which would be a replicable way for prisons staff with access to LIDS to run off lists of eligible prisoners for the survey. It was also required that LIDS sample be centrally checked and quality assured by Ipsos MORI staff. The secure email system was used to transfer the sample.

The query used was developed by a LIDS expert based at Prison 50. Use of the query gave control over what type of prisoners to select from the system and the information included in outputs could be tailored to the study. The following checks were built in to the query:

- current prisoners with status sentenced and length of sentence less than or equal to four years

- date of first reception into current prison between 14 and 28 days before sampling date, although this was later changed to 0 to 28 days as the 14-day minimum period could be controlled at head office and would give advance notice of likely numbers coming up before interview days (i.e. a prisoner received into custody today and sampled today, if they remained in their current prison for two weeks, would be eligible for the study at that point).

The following information was included in LIDS outputs:

- prisoner ID (unique number)
- forename
- location within the prison (used by staff to locate prisoners)
- sentence length in years, months and days
- date of first conviction
- date of first reception (into current prison)
- date of birth *or* date of release (the latter used in prisons holding no under-18s).

It would have been preferable to include both date of birth and date of release but outputs needed to be limited by what could fit the normal width of an electronic page file as the project team discovered early on that prisons staff would crop this information if the width was more than standard. This was an additional complication best ruled out. Date of release was preferred where possible as this would prevent selection of individuals due for release before interview days commenced. However, in prisons holding under-18s, it was necessary to conduct checks against age to ensure only adults were selected. All other information was essential to project needs.

The checks listed below were carried out at Ipsos MORI head offices.

- Age at date of interview of at least 18.
- Time spent at current prison at date of interview 2–4 weeks, later changed to 2–5 weeks to provide slightly more sample when numbers were found to be a lot lower than expected (which was also a finding of the feasibility study). In a small number of prisons, with their permission, prisoners who had been in custody for one week were interviewed. This was necessary to get to enough prisoners in a handful of prisons with particularly fast turnover (e.g. Prison 8).
- Date of conviction no more than a month before date of reception, or two months for prisoners sentenced to 18 months or more.
- Still in custody at interview date, if release date provided.

All of these checks were carried out prior to interview days. In practice a fairly lengthy lead-in time was required at each prison to agree arrangements for interview days. On the sampling side this included identifying and liaising with a member of staff with the right level of access and expertise to programme the LIDS query into the prison's system (typically one or two IT officers per prison qualify). This had to be done manually as the LIDS system at the time was a MS-DOS operating system-based program which does not work with the “copy” and “paste” functions used in Windows (although LIDS has since been replaced by P-NOMIS in public prisons, operating on a more modern platform, which should aid future projects). As this was prone to errors central vetting of prisoner data was essential.

Computer assisted personal interviewing (CAPI) checks

As a backup to sampling procedures a number of screener questions were incorporated at the beginning of the questionnaire to ensure prisoners met eligibility requirements. In most cases sampled individuals were brought forward by prison staff and their details picked up when the correct ID was entered onto interviewers' CAPI laptops. The sample was updated several times a week throughout fieldwork to ensure the latest version was always available. Where this system failed, either because the interviewer did not have the latest version (due to staffing problems at prisons sampling was occasionally carried out just before interview days) or an un-sampled prisoner was brought forward, respondents' eligibility on age and length of sentence were confirmed by the interview program. The script would also determine whether to conduct a reception or combined interview (see section 3.1) based on the respondent's length of sentence.

The CAPI checks did not include a check against time spent in prison as it was known that this would in many cases reject sampled respondents (as date of first reception is not available on LIDS). It was felt that this would have had a large impact on the efficiency of the study, and in addition would have made it particularly difficult to recruit longer-sentenced prisoners as they tend to spend longer in custody before being sentenced.

Sampling process in practice

As part of initial liaison negotiations with prisons, Ipsos MORI researchers asked prisons to nominate an IT officer to help set up the LIDS query for sampling. A query user guide was also provided for staff and in some cases Ipsos MORI researchers talked staff through this process. Ensuring this was set up prior to visits was a key step, as in many cases the LIDS version in use by the prison would need to be upgraded to handle query functions.

At the same time liaison over other survey requirements would take place, and at an appropriate time the key interviewer for each prison would make contact with the main prison contact point. Key interviewers were given lead responsibility for setting up initial days, including visiting for a half or full day just before interviewing commenced to check on arrangements and provide packs of respondent letters and leaflets to prisons. The first sample draw usually took place during this initial visit, with the key interviewer in contact with Ipsos MORI head office. Key interviewers received a more intensive face-to-face briefing to take them through this process. In most cases the process did work effectively, on time, and sample lists could be vetted by head office and returned to prisons for key interviewers to accompany prison officers around the prison to hand out letters and leaflets in an afternoon session. Feedback from these sessions formed useful learning points for the study.

LIDS outputs were emailed to Ipsos MORI over the encrypted Criminal Justice Secure eMail service (CJSM), or faxed in some cases. At Ipsos MORI head office data was copied or scanned into a central sampling spreadsheet and formulaic checks were performed to identify lists of eligible prisoners, which were returned securely to prisons. Selections were also uploaded to the Ipsos MORI CAPI server to be available the next time interviewers dialled in, and via this secure mechanism interviewers' laptops were updated with the basic details of those they were due to interview on upcoming days in prisons.

By and large this process worked very well. In all, 151 separate sample lists were received from prisons and 142 vetted sample lists were emailed back over the course of Sample 1 reception interviews. Sampling this frequently was necessary to keep to eligibility requirements, particularly the 2–5 weeks since reception window. The frequency of interview days was dictated by prisons and what they were able to accommodate (in terms of when and how many interviewers could work at the same time). In most cases additional sample was needed prior to each subsequent fieldwork period, as many of those originally drawn would have been in prison too long by the time an interviewer could get to them, or would have transferred elsewhere. Sample was usually drawn prior to batches of interview days, after which the team would assess progress and liaise with prisons over any alterations needed to the process, before drawing new sample for a later visit.

Selecting individuals – a census approach

In most cases, excepting when an Ipsos MORI key interviewer was there to help, prison staff had full responsibility for bringing the correct individuals forward for interview. Data protection constraints meant it was not possible to send lists of eligible respondents to interviewers, so they would visit prisons without pre-printed contact sheets. Close liaison was required

between head office, prison staff and interviewers. Interviewers were given key contact information (particularly of staff who had been sent sample lists), so that they could follow up where possible and ensure the correct protocol was followed. Interviewers working in prisons had to operate within the hours and interview schedules devised by prison staff.

The study's sampling requirements were also balanced against efficiency needs and having sufficient numbers of respondents to occupy complete interview days. Although feedback from interviewers and prison staff suggested that instances of prisoner refusal were rare, attrition was high. This was mainly because of transfers to other prisons, but on occasion prisoners were not available for other reasons (discharge, segregation, in work, or last-minute visits or appointments, for example). Attrition through factors such as transfers could quickly be determined for individual prisons once an initial sample was drawn and compared to expected numbers, but it could not easily be determined in advance how smoothly actual interview days would run. Arrangements worked best when key prison staff were on hand to supervise the interviewing process, and sufficient staff were available to move prisoners from their cells to the interviewing rooms. This was not always the case, and on some occasions interviewers spent whole days in prisons for little or no return.

Strike rates of four interviews per interviewer day on average were planned in the design of the study, and although an average rate of three per day was achieved, a fairly pragmatic approach was needed to achieve even this lower rate. It was thus desirable that interviews be allowed to go ahead with the bulk of prisoners who did attend for interview (providing they met the key selection criteria).

With these considerations, and the issue of variable numbers of eligible respondents, in mind, Sample 1 sampling was undertaken using a census approach. In some prisons, for example those able to accommodate several interviewers at once or with unexpectedly low numbers of eligible prisoners, all eligible prisoners were needed to make up numbers to fill interview days in any case. In others, numbers greater than those needed were sampled.⁴ In addition, interviews with non-sampled individuals were allowed to go ahead, provided they passed the basic set of CAPI checks.

⁴ The feasibility study had a similar experience: "The reception interview was to be conducted with 100 prisoners who had been sentenced to less than three months. Based upon data from previous months, it became apparent that all of those prisoners who were eligible for the study would need to be included in order to maximise the number of interviews at this stage." To finish on time the study ultimately achieved a total of 26 full reception interviews (against 400 planned).

The initial phases demonstrated that sampling via LIDS had potential issues, particularly as it could not provide information on how long some prisoners had been in custody (the researchers were advised that LIDS only held date of reception into current prison, not into custody as a whole). It was also feasible for an eligible prisoner, who would have been sampled via LIDS at another prison if the study had access to LIDS there, to transfer to a prison where interviewing had already been set up. As a result some interviews were conducted with respondents who in retrospect did not meet all eligibility requirements, and these interviews were discarded (see section below).

Sampling efficiency

Difficult-to-access prisons

Of the 53 prisons targeted for the reception phase, interviews were not conducted in 13 for the reasons shown in Table 2.3. One of these, Prison 45, was willing to be included in the study but initial sampling showed that prisoners were transferred out upon sentencing, which gives a remainder of 12 prisons that the study was unable to access. These prisons were contacted several times by both Ipsos MORI and the Home Office but it was not possible to secure their cooperation within the allotted fieldwork period. These prisons accounted for 21% of receptions through the six months of Sample 1 sampling, and hence a loss of coverage of this amount. Reassuringly, the reception prisoner profile of difficult-to-access prisons is a close match to the overall profile (see Table 2.6), suggesting that no systematic bias has been introduced in terms of the type of prisoners included/excluded for these reasons.

Table 2.3: Prisons not taking part in Sample 1 interviewing

	Sample 1 target	Comments
Prison 3	38	Accessed at end of Sample 1 phase, unable to use achieved interviews as all ineligible
<i>Prison 18</i>	<i>16</i>	<i>Unable to arrange interview days in time</i>
Prison 21	37	Unable to arrange interview days in time
Prison 29	38	Unable to arrange interview days in time
Prison 31	28	No progress made over life of project
Prison 32	20	No progress made over life of project
Prison 33	24	Did not get back to us with a date
<i>Prison 35</i>	<i>16</i>	<i>No progress made over life of project</i>
Prison 38	37	Unable to arrange interview days in time
Prison 41	48	No progress made over life of project
<i>Prison 48</i>	<i>30</i>	<i>No progress made over life of project</i>
Prison 53	35	Ongoing problems with key training and unable to run LIDS query

Source: Ipsos MORI

Note: Women’s prisons presented in italics

In addition, fieldwork took longer than anticipated, mainly because of the length of time needed to get into some prisons. For this reason it was agreed that some of the most efficient prisons could provide interviews beyond their initial targets, most notably Prison 15, where 197⁵ interviews were conducted against 66 targeted.

Non-sampled and excluded respondents

Table 2.4 shows the breakdown of Sample 1 interviews achieved, against how they were initially sampled (either employing a Sample 1 sampling methodology via LIDS, a Sample 2 sampling methodology using the IIS, or not sampled at all). In compiling the final Sample 1 dataset a number of interviews have been discarded for the reasons outlined below, in particular because they broke the sampling tolerances of a maximum of six months in custody at date of reception interview, as prescribed on Sample 2 sampling.

Table 2.4: Breakdown of achieved Sample 1 interviews

	Original Sample 1 sample	Original Sample 2 sample	Originally not sampled	Total
<i>Base: All interviewed respondents (some not included in final Sample 1 data)</i>	<i>(1,189) N</i>	<i>(105) N</i>	<i>(269) N</i>	<i>(1,563) N</i>
Time in custody (IIS)				
LE 6 weeks ¹	1,027	1	130	1,158 ¹
GT 6 weeks LE 6 months ²	123	104	70	297 ²
GT 6 months ³	33	-	55	88 ³
Not classified	6	-	14	20
Time in custody (prisoner interview data)				
LE 6 weeks	1,005	4	151	1,160
GT 6 weeks LE 6 months	128	96	71	295
GT 6 months	20	5	41	66
Not stated/Don't know	36	-	6	42
Length of sentence (IIS)				
LE 6 months	712		94	806
GT 6 months LE 18 months	341	5	64	410
GT 18 months LE 4 years	126	99	97	322
GT 4 years ⁴	3	1	7	11 ⁴
Not classified	7		7	14

Source: Ipsos MORI

¹ The ideal Sample 1 sample, in custody for a maximum of six weeks

² These interviews have been retained as they fit within Sample 2 sampling tolerances

³ Dropped from Sample 1 dataset as in custody for over six months at interview date

⁴ Seven respondents rejected from the 11 shown here. Four were included where original length of sentence was under four years. One of the rejected, a lifer, was sampled using LIDS

⁵ Note: some Prison 15 interviews had to be discarded due to problems with accurate sampling – the final figure of valid Sample 1 interviews achieved at the prison is 176.

Given the inconsistencies in IIS and LIDS, it has been important to use information provided by prisoners themselves during interview in order to conduct further checks on the eligibility of sampling. The numbers who said they had been in custody for six weeks or less, compared with numbers against the IIS, which uses date of first reception, are a reliable source of sampling checks *for short-term prisoners*. However, if the study had kept to a strict six weeks in custody by rejecting respondents during interviews who broke this limit, 295 interviews would not have been conducted (with detrimental effects to survey accuracy). It is also worth noting that 123 individuals sampled accurately to Sample 1 sampling tolerances broke the six-week requirement against the IIS.

Pointers for future studies

Random probability studies of reception prisoners involving multiple prisons are challenging. It is difficult to build an accurate sample frame of new receptions, particularly if a tight period (from date of reception) of eligibility is required (indeed, SPCR found that initial limits of 2–5 weeks in custody effectively excluded large numbers of prisoners on sentences of over 18 months). Furthermore, sampling frames of reception prisoners which cover the full population should include non-reception as well as reception prisons as many prisoners are transferred to these prisons early in their sentences.

The local LIDS databases can provide a sample frame for all prisoners including those on short sentences but, at the time the research was conducted, the researchers were advised that the database could not determine precisely how long a prisoner had been in custody if they had already spent time in another prison. Studies with such a length-in-custody requirement, which use LIDS as a sampling source, will hence need to screen some prisoners out during interviews, thus affecting the efficiency of fieldwork. On the other hand, studies using the IIS, which can accurately determine a prisoner's time in custody, will need to liaise closely with prisons before interview days to manage the higher likelihood of transfers to other establishments, as the database is only updated monthly. We note that P-NOMIS has since replaced LIDS in most prisons and may hold different information.

2.3 Sample 2

Given the difficulties with sampling newly sentenced prisoners on longer sentences using LIDS, the IIS was used as a sampling frame for Sample 2. The maximum time in custody at the time of interview was set at six months, in order to provide sufficient numbers of eligible prisoners to interview. Targets for Sample 2 interviews were hence set across a wider pool of prisons, based on figures of the eligible population (across all prisons) provided by the Home

Office. As with Sample 1 a degree of over-sampling was incorporated into the targets to allow for variable cooperation across prisons. The sampling frame for Sample 2 included many non-reception prisons, where significant numbers of long-term prisoners were transferred following their initial reception into custody. As with Sample 1, the prisons which had comparatively small numbers of eligible prisoners were not targeted. Out of a possible 117 prisons with eligible prisoners for Sample 2, fieldwork was attempted at 58.

In line with the Sample 1 approach, fieldwork proceeded as quickly as possible in all willing prisons, until targets were reached. Progress was largely checked by numbers of eligible prisoners in prisons, as longer sentences were less common in the population (at the time of SPCR fieldwork, prisoners sentenced from 18 months to four years made up approximately 15% of all receptions). It therefore took over a year to complete fieldwork for the Sample 2 phase.

The limited numbers of eligible prisoners posed a particular problem for interviewing a female sample of 500 prisoners. During the period in question eligible "Sample 2" prisoners across female establishments made up just 11% of all female receptions – around 800–900 in total over a year. Attempts were therefore made to interview in all female prisons no matter how small, and prisons willing to continue accommodating interviewers beyond numbers initially requested were asked to do so. Staff at female prisons in particular reported problems with staff shortages and more frequent requests to accommodate research. Due to this slow progress interviewing was concluded at 400 interviews. Table 2.5 shows achieved interviews and targets at all Sample 2 prisons.

Table 2.5: Interview targets against achieved eligible Sample 2 receptions

Prison	Target	Achieved	Prison	Target	Achieved
Male prisons					
Prison 55	70	81	Prison 67	140	55
Prison 1	120	71	Prison 68	70	46
Prison 3 ¹	N/A	1	Prison 69	70	36
Prison 4	150	68	Prison 70	70	24
Prison 5	110	51	Prison 47	90	106
Prison 11	70	57	Prison 71	N/A	2
Prison 56	70	79	Prison 50	60	18
Prison 57	70	58	Prison 51	60	43
Prison 58	70	37	Prison 52	60	32
Prison 59	80	33			
Prison 15	100	86	Female prisons		
Prison 17	60	63	Prison 72	N/A	7
Prison 60	70	78	Prison 9	N/A	16
Prison 61	70	29	Prison 10	N/A	14
Prison 23 ²	100	0	Prison 73	N/A	11
Prison 62	80	48	Prison 74 ³	N/A	0
Prison 25	50	28	Prison 75	N/A	30
Prison 63	60	61	Prison 76	N/A	34
Prison 27	110	67	Prison 77	N/A	39
Prison 28	80	41	Prison 78	N/A	3
Prison 30	90	35	Prison 18	N/A	15
Prison 64	80	91	Prison 79	N/A	35
Prison 34	130	119	Prison 22	N/A	15
Prison 36	100	24	Prison 26	N/A	39
Prison 65	70	12	Prison 35	N/A	36
Prison 38	70	41	Prison 80	N/A	19
Prison 66	90	44	Prison 37	N/A	46
Prison 40	110	96	Prison 81	N/A	13
Prison 54	60	74	Prison 48	N/A	47
Prison 44	70	67	Total	N/A	2,421

Source: MORI

¹ Prison 3 was approached as part of Sample 1; of the prisoners interviewed (from a single visit) only one was eligible for Sample 2

² Prison 23 Sample 2 interviews were counted towards Sample 1; prison withdrew consent early on because of resourcing problems

³ Prison 74 reclassified as a male prison in 2006

Sampling efficiency

Participating prisons

All targeted prisons took part in the Sample 2 stage of reception interviewing, barring Prison 74 which was reclassified as a male prison before interviewing could get underway.

However, three prisons accommodated fieldwork just once for the Sample 2 stage, and provided no useable interviews (Prison 82, Prison 8 and Prison 83). Prison 3 was originally targeted for Sample 1 and also only accommodated fieldwork once; however the single interview achieved there did not meet Sample 1 eligibility requirements (but did fit Sample 2) and so was included as part of Sample 2.

Excluded respondents

All prisoners included in the Sample 2 dataset were sampled and confirmed as eligible via the IIS. However, a number who were interviewed but were subsequently identified as being outside the survey requirements were dropped. The IIS has provided conflicting sentence lengths for some prisoners over time, and some have been re-classified with sentences which fall outside the study's eligibility limits.⁶ For the purpose of constructing a sample profile (see section 2.5) the original IIS length of sentence has been used.

2.4 Response rates

As discussed elsewhere in this report, a number of practical considerations had an impact on how sampling was conducted for this study. To recap, these considerations include those listed below.

- Number of interview rooms available and staff availability to schedule arrangements and bring prisoners to interview rooms; and the frequency of visits that could be accommodated (e.g. some prisons made a start and then requested no further fieldwork days for a period).
- Available LIDS sample did not always match IIS-based monthly prison reception figures, meaning IIS-based targets did not accurately reflect actual availability: e.g. prisons where sentenced prisoners were immediately transferred elsewhere, or which contained high proportions of remand or recall prisoners.
- Problems with running off LIDS samples – some had to fax these across and on a few occasions they were vetted over the phone.
- Efficiency needs – the study aimed for interviewers to be able to conduct as many interviews per day as possible – coupled with much variation in how well each fieldwork day went (from prison to prison and day to day).

Information was not systematically collected for those sampled prisoners who were not interviewed, as in the majority of cases an interviewer did not meet with the prisoner. Batches of interview dates were typically spaced several weeks apart to fit prisons' needs, and hence any prisoners not interviewed in a particular series of days for Sample 1 would generally no longer fit the eligibility window of 2–5 weeks since reception. As noted in this report (see section 2.2 in particular) the reasons interviewers did not make contact with prisoners were largely logistical, and on many occasions, where the arrangement of days

⁶ Details sometimes change if errors are spotted, or legitimately if for example a prisoner is found guilty and sentenced for another offence while already in prison – if the new sentence is to be served consecutively then the total length will increase. Appeals are another reason why sentence length can legitimately change.

was particularly poorly handled, large numbers of sampled prisoners were not approached to take part in the survey.

Any interpretation of the response rates achieved on both Sample 1 and Sample 2 should reflect these circumstances. Of the 2,675 prisoners sampled from LIDS and provided to prisons to make arrangements for interview, for Sample 1, 1,189 interviews were achieved (a response rate of 44%). Taking account of prisoners who had been discharged or transferred to other establishments before their allotted fieldwork days, 1,980 eligible prisoners were actually available for interview, giving a cooperation rate of 60%.

Of the 6,105 prisoners sampled via IIS for Sample 2, 2,579⁷ interviews were achieved (a response rate of 42%). Taking account of prisoners discharged or transferred before their allotted fieldwork days, 4,382 were potentially available for interview, giving a cooperation rate of 59%.

2.5 Sample profile

The final Sample 1 sample profile is shown in Table 2.6, comprising 1,435 interviews, after ineligible participants, including those subject to deportation, were removed. The comparison overall prisons population is adult receptions of UK nationals into custody from January to June 2006, when the bulk of fieldwork took place.

The survey achieved a close match to the overall prisons population without making any attempt to “quota control” within categories, barring at the 18-month to four years sentence length, where Sample 2 respondents were added. Table 2.6 shows these profiles, and also gives the profile of the prisons which were targeted but did not take part in the study. These are also a close match to the overall population.

⁷ Not all achieved interviews were eligible.

Table 2.6: Sample 1 profile, compared with total prison receptions

	Sample 1		Prisons receptions Jan–Jun 06		Non-participating prisons Jan–Jun 06	
	N	%	N	%		%
All	1,435	100	33,838 ⁸	100		100
Length of sentence						
one month to less than six months	780	54%	18,049	59		78
6 months to less than 12 months	304	21%	4,674	15		7
12 months to 4 years	351	24%	7,726	25		15
Gender						
Male	1,303	91	30,642	91		87
Female	132	9	3,196	9		13
Age						
18–20	174	12	5,487	16		12
21–24	297	21	6,638	20		19
25–29	328	23	6,712	20		21
30–39	417	29	9,475	28		30
40–49	171	12	4,085	12		13
50 and over	48	3	1,441	4		4
Ethnicity						
White or White British	1,211	84	29,062	86		81
Black and Minority Ethnic	224	16	4,674	14		19
<i>Asian or Asian British</i>	51	4	1,465	4		5
<i>Black or Black British</i>	106	8	2,372	7		11
<i>Mixed ethnicity</i>	55	3	781	2		2
<i>Chinese or other ethnic group</i>	12	1	56	*		1
Not known	<i>n/a</i>	<i>n/a</i>	102	*		<i>n/a</i>
Offences						
Violence against the person	237	17	6,422	19		18
Sexual offences	11	1	580	2		2
Robbery	26	2	1,045	3		2
Burglary	90	6	2,848	8		7
Theft and handling	296	21	7,606	22		24
Fraud and forgery	43	3	930	3		6
Drug offences	76	5	2,122	6		6
Motoring offences	253	18	4,507	13		14
Other offences	300	21	7,541	22		21
Offence not recorded	103	7	237	1		*

* denotes a percentage less than 1 but not zero

The profile of Sample 2 is also a close match to the comparative population of receptions eligible for this stage. There are some differences in the offence category profiles; the male sample has fewer burglary convictions and more drug offences than the population, and

⁸ All prisons reception figures are out of prisoners sentenced to under 4 years, except sentence length which covers 1 month to 4 years. Hence the length of sentence figures total 30,449.

there are more black and minority ethnic prisoners in the female sample. By and large, however, the profiles are similar (see Table 2.7).

Table 2.7: Sample 2 profile, compared with total prison receptions

	Male				Female			
	Sample 2		Prison receptions Jun 06–Jul 07		Sample 2		Prison receptions Jun 06–Jul 07	
	N	%	N	%	N	%	N	%
All	2,014	100	12,430	100	400	100	760	100
Length of sentence								
18 months to less than 2 years	853	42	4,945	40	188	47	363	48
2 years to less than 3 years	781	39	4,716	38	148	37	267	35
3 years to 4 years	380	19	2,769	22	64	16	130	17
Age								
18–20	325	16	2,347	19	48	12	107	14
21–24	428	21	2,501	20	74	19	147	19
25–29	380	19	2,382	19	62	16	137	18
30–39	508	25	3,018	24	121	30	211	28
40–49	274	14	1,499	12	67	17	115	15
50 and over	99	5	683	5	28	7	43	6
Ethnicity								
White or White British	1657	82	10,142	82	316	79	634	83
Black and Minority Ethnic	357	18	2,246	18	83	21	121	16
<i>Asian or Asian British</i>	117	6	693	6	14	4	22	3
<i>Black or Black British</i>	139	7	1,162	9	53	13	71	9
<i>Mixed ethnicity</i>	86	4	364	3	15	4	24	3
<i>Chinese or other ethnic group</i>	15	1	27	*	1	*	4	1
Not known	n/a	n/a	42	*	1	*	5	*
Offences								
Violence against the person	449	22	2,516	20	68	17	119	16
Sexual offences	133	7	745	6	3	1	8	1
Robbery	180	9	1,334	11	33	8	92	12
Burglary	284	14	2,436	20	29	7	70	9
Theft and handling	131	7	952	8	49	12	74	10
Fraud and forgery	47	2	348	3	32	8	64	8
Drug offences	488	24	2,282	18	132	33	235	31
Motoring offences	65	3	298	2	1	*	-	-
Other offences	228	11	1,451	12	50	13	94	12
Offence not recorded	9	*	68	1	3	1	4	1

* denotes a percentage less than 1 but not zero

3. The reception questionnaire

3.1 Structure and coverage

The key aim of SPCR was to provide robust data to measure the impact of prison interventions on offenders' behaviour. More specifically, the reception interview aimed to identify prisoners' situations before they entered custody and their problems and needs upon reception. Therefore, the questionnaire was designed to collect relevant factual information on the following areas:

- details of current sentence
- contact with the criminal justice system
- self-assessed prisoner needs for training or help
- accommodation and relations
- employment
- smoking and alcohol
- drug use and drug treatment
- health
- previous offending
- family and other background
- education
- attitudes and motivation.

The initial questionnaire was designed by Home Office RDS NOMS in partnership with stakeholders the DWP, DfES and DH. Ipsos MORI scripted the questionnaire into a CAPI format and contributed final revisions to emphasise confidentiality and sensitive handling and routing of some sections. The full reception questionnaire is included in the Appendix.

Questionnaire versions

Prisoners on short sentences were interviewed once in custody, receiving a "combined" questionnaire, which included some of the elements of the following, pre-release stage, questionnaire. This applied to Sample 1 only. The original specification outlined that this should include prisoners serving sentences of up to three months. This proved problematic due to variations in the amount of time prisoners had spent in custody, and at the outset was determined by the amount of time left in custody (calculated on date of reception and assuming a prisoner would serve half their sentence, if the release date had not been provided from LIDS).

Time remaining in custody, for the combined questionnaire to apply, was initially set at 35 days. This was relaxed a number of times during the early stages of the study as it proved difficult to accurately schedule interviews with pre-release respondents (which is the subject of the next SPCR methodological report), particularly considering LIDS information did not include information on a prisoner's eligibility for early release under Home Detention Curfew (HDC). The requirement for a combined interview was finally set at 60 days remaining in custody. In total the Sample 1 reception dataset contains 737 combined interviews (58% of the total) and 698 reception interviews.

The additional areas covered on the combined questionnaire are:

- prison conditions (time in cell, regime, punishments, work, etc.)
- custodial interventions received (in brief)
- basic skills training
- accommodation, education and training plans for release.

Questionnaire length

On average, the interview lasted 59 minutes for the reception version and an hour and five minutes for the combined. However, the length of the interview varied, depending primarily on respondents' drug usage and previous offending. Those with more drug usage and past offending underwent a longer interview as they were probed for specifics under each behaviour. Another factor contributing to longer interviews in some cases was the need for interviewers to read out showcards to prisoners with literacy problems.

3.2 Asking for sensitive information

Some of the reception questions asked for sensitive or personal information, such as information on previous convictions and sentences, drug-related issues and self harm. The following techniques were employed to deal with sensitive areas of the questionnaire.

- At the start of interviewing, as well as at key stages throughout the interview, respondents were assured of the confidentiality of the survey and that their answers would not be traced back to them individually.
- Particular care was given to sensitive routing of questions. For example, if a respondent said they had no family they were not asked further attitude questions about family; homeless respondents were not asked how long they lived in their last accommodation; or if a respondent said they had never drunk alcohol, later questions about alcohol treatment were omitted.

- There was a short introductory text at the beginning of each section which prepared respondents for the type of questions within the section.
- Interviewers attended full face-to-face briefings which covered sensitive topics in questionnaires and interviewers were briefed to ask these questions carefully and considerately.
- Showcards were used where the answers to questions were considered sensitive.
- Respondents were also given the option to withdraw at any point during the interview.

3.3 Computer assisted personal interviewing (CAPI) programming

All interviews were conducted face-to-face in prisons using computer assisted personal interviewing (CAPI). The script was programmed to match the Word version of the questionnaire provided by RDS NOMS. Once the sample was received from prisons and checked as outlined earlier, the details of all eligible respondents (current prison, forename, date of birth, etc.) were uploaded onto the CAPI server for interviewers to download to individual machines.

At the start of the interview, the interviewer entered the respondent's unique prisoner ID, which would call up data from the sample and route to the correct version of the questionnaire (either reception or combined). As a backup, where a prisoner number was not found, interviewers were able to enter details manually and the programme would determine which version of the questionnaire to use from the length of sentence given by the respondent.

Question types

The majority of questions in the questionnaire were pre-coded. For pre-coded questions, a list of pre-defined responses to each question appeared on the CAPI screen, and interviewers selected the appropriate response. Some pre-coded questions allowed only one response (e.g. where answer options were mutually exclusive), whereas others allowed multiple responses (e.g. where more than one answer was relevant to the question).

Pre-coded questions sometimes included an "other-specify" option, which allowed respondents to provide answers (verbatim) other than those included in the pre-coded lists.

Screening questions

A series of screener questions were incorporated into the CAPI interview, preceding the main questionnaire, to confirm that respondents were eligible to take part. In most cases this was not necessary as respondents were sampled in advance using LIDS. However, this procedure was used where an un-sampled respondent was brought forward for interview and their eligibility had yet to be confirmed, or where the interviewer had not downloaded the latest sample or had problems downloading it and needed to enter respondent details.

The screening questions covered information required to determine the eligibility of the respondent such as age and length of sentence. If any answers did not fit with the required eligibility criteria the interview was terminated.

Consent to interview

Before the start of the interview, every respondent was asked to sign a pre-interview consent form. The screener section included a question to check this had been completed. The CAPI script allowed for the interviewer to proceed with the interview only if the consent form process had been completed. Chapter 5 on data checks and processing includes more information on the consent forms used.

Logic and consistency checks

The CAPI questionnaire script incorporated a number of consistency checks. These checks tested the consistency of answers: where inconsistent answers were given, the script would not allow the interview to proceed. Respondents would instead need to change their answer at the current question to be consistent with a previously given answer or answers, or vice versa. For example, the respondent was asked to state their date of birth. Once the date of birth was confirmed the computer calculated their age and the question that followed asked the respondent to confirm their age based on the date of birth they had given. If the respondent gave a different age a logic check was initiated and the respondent was asked again to confirm their date of birth.

Logic checks included instances where various response options could not be entered together at Multi Code questions (for instance because they were contradictory), and instances where contradictory answers were given to separate questions. In the latter instance, it was ensured that an error message appeared on the screen flagging up the contradictory answers, and instructing the interviewer to ask the respondent to clarify the correct answer.

Sample data used during the interview

Information from the sample such as date of reception for current sentence was used during the interview. For example, respondents were asked questions about the 12 months before they were received into custody, and prompts were used in the question wording to indicate the dates of the reference period.

Routing questions

The questionnaire included questions which were routed from the answers of previous questions, or several questions in some cases. For instance, respondents were asked about the drugs they had used before custody, and if they reported any drug use more detailed follow-up questions were asked about each type of drug used. Similarly, respondents were only asked questions about drugs and crime links if they had reported drug use and past offending. All routing is annotated in the questionnaire, which is included in the Appendix.

Re-contact questions

The final section of the questionnaire collected information on address after the release, alternative friends and family and stable contacts to follow in the event of losing contact with the respondent, and the name and location of the respondent's probation officer, if applicable.

4. The interviewing process

All interviews were carried out by fully trained and supervised interviewers, using Ipsos MORI's most experienced interviewing field force, all of whom specialise in random probability (pre-selected) surveys. Interviewers were assigned on a regional basis to the prisons within their areas. Each prison was coordinated by a key interviewer whose role included liaising with prisons to organise security arrangements and feeding back any problems at their prisons. The role of key interviewers is explained in detail in section 4.3.

4.1 Interviewer briefings

All interviewers, including key interviewers, were given a comprehensive face-to-face briefing by senior researchers on the Ipsos MORI SPCR team before interviewing began. A total of 10 briefing sessions were conducted, eight with all interviewers and two additional sessions with key interviewers (who also attended the regular briefings) and area managers. These took place in seven UK locations between 8 November and 5 December 2005. A total of 160 Ipsos MORI personnel attended these briefings. Some of the briefings were also attended by RDS NOMS team members.

The briefings included:

- an overview of SPCR;
- aims and objectives of the research;
- an overview of the interviewing process;
- questionnaire content;
- sensitivity and confidentiality;
- information on what to expect in prisons;
- safety and security; and
- dummy interviews using the CAPI program.

Given the length and complexity of the face-to-face briefing, interviewers were also supplied with detailed briefing packs containing the following information:

- interviewer instructions;
- letter to prisons from the Director General;
- a follow-up email explaining the process to prisons;
- a letter from NOMS explaining the work;
- letters to prisoners inviting them to take part;

- a leaflet for prisoners explaining the survey;
- the questionnaire;
- consent forms; and
- contact sheets.

4.2 Security clearance and access to prisons

Conducting interviews in prisons and working with confidential information such as prisoners' personal data required security clearance for those involved in the project. All interviewers and members of the Ipsos MORI SPCR team were subject to central clearance and Criminal Records Bureau checks before the start of the project. Security procedures for working in prisons were approved centrally by the Prison Service. Interviewers were allowed access to prisons provided they carried photographic ID such as a driving licence or passport, and their tamper-proof Ipsos MORI photo ID card.

4.3 Prison liaison

Once the prisons targets were set and the sampling frame determined, as outlined earlier in the report, we were in a position to approach prisons to arrange interviewing. Processes were built upon the experience and recommendations of the feasibility study.

Letter to prison governors

The first step involved a letter sent by the Director General of the Prison Service, Phil Wheatley, to the governor of each prison. The purpose of this letter was to introduce SPCR and ask for the prison's involvement in the study. The letter also required each governor to designate a liaison officer (also referred to as "prison contact" later in this report) to act as a main point of contact to set up arrangements for interviews. Each governor was also notified of the target number of interviews to be achieved at their establishment. The letters were sent on 27 October 2005 and the governors were requested to get in touch with the Home Office/MOJ within two weeks, giving the contact details of the chosen liaison officer.

Making contact

RDS NOMS forwarded details of designated liaison officers to Ipsos MORI. At this stage Ipsos MORI were in a position to start attempting to arrange interviews with prisons. In the meantime, RDS NOMS chased up missing contact details individually.

Ipsos MORI made the first contact with liaison officers by email (where email addresses were not provided, contact was made by telephone). Emails provided further information on

SPCR, an overview of the liaison's role in the study, details of how the survey would work at the prison, and details of the type of prisoners targeted for the study. The email also included a questionnaire pro-forma which required specific practical information such as the preferred timing of interviews, availability of rooms, security issues to iron out, details of an IT contact to facilitate sampling, and refreshment incentives. A psychologist at Prison 50 kindly agreed to meet with Ipsos MORI on a few occasions to provide insight into some of the practical issues to consider when interviewing at prisons.

Booking interviews

Once pro formas were returned and follow-up issues ironed out, and the sampling query process was underway, prisons were approached to suggest suitable dates for interview. Such bookings were usually made between one and two weeks in advance, to give sufficient time to finalise arrangements and carry out sampling checks.

A few days before the first interview date an Ipsos MORI key interviewer visited each prison to meet the contact and assist with drawing the first sample, which was emailed back to Ipsos MORI to be vetted. Key interviewers would, where possible, accompany officers to hand out letters and leaflets and talk to prisoners, once sampling was complete. This provided useful insights into how the survey would be run at the prison. In some cases interviewers were allowed to brief groups of prisoners about the survey to secure their participation.

Usually, the key interviewers were present at prisons on pre-interview days just the first time sample was drawn, following which the prison contact or another member of staff were able to do this themselves. Prior to subsequent visits the letters were handed out to prisoners by prison contacts, which became one of their main roles in the process. On these occasions Ipsos MORI would send lists of eligible prisoners to prison contacts, who would be responsible for inviting them and allocating prisoners to an interview schedule.

After an interview date was agreed with the prison contact, Ipsos MORI gave a list of the names of interviewers to the prison contact, who would ensure the list was placed at the front gate to facilitate admission.

Interviewing process

On interview days, the role of the prison contact was to meet the interviewers at the gate and take them to the interviewers' rooms. Interviewers were provided with a letter of introduction

from RDS NOMS, confirming their purpose at the prison. The letter also confirmed interviewers' security clearance and central clearance to carry a laptop computer.

The role of the prison contact on the day was to make the necessary arrangements to enable the selected prisoners to report to the interview room at their assigned times. Where agreed they also ensured refreshments were available to prisoners.

Laptops

Interviews were conducted using encrypted laptop computers. Prison contacts were notified in advance of the necessary use of laptops and, in most cases, interviewers did not encounter problems in bringing these into prisons.

Incentives

As agreed by RDS NOMS, refreshments, preferably a soft drink and chocolate, were provided as an incentive to take part in the survey. This was mentioned on the survey leaflet. In some prisons where interviewers were not allowed to bring in any refreshments, they were bought by interviewers from prison vending machines (e.g. in visiting areas).

4.4 Problems during fieldwork

Despite careful arrangements, difficulties were encountered at prisons. These issues were countered by close liaison with prisons and, where necessary, RDS NOMS. Significant efforts were made to communicate effectively with prisons. A dedicated Ipsos MORI liaison contact was assigned to each prison; the study had access to the Prison Service intranet; and periodic update notes were circulated (starting in October 2006). In addition, the survey featured in the Prison Service staff newsletter, and Christmas cards were sent to prison contacts each year of the study.

As a general rule the interviewing process at prisons with cooperative contacts was more successful than at prisons where contacts did not (perhaps through no fault of their own) keep a close eye on survey arrangements. Some of the most common problems are listed below.

- No response received from liaison contacts after repeated attempts. Details were then passed to RDS NOMS, who subsequently approached prison contact and governors directly where necessary.

- Interviewers not being able to gain access to prison due to the prison contact failing to notify the staff at the gates, or staff at the gates introducing additional unexpected bureaucracy.
- The wrong prisoners being brought forward for interview.
- Inadequate rooms provided for interviews.
- Inadequate staff to escort prisoners to interviewers.
- Letters and leaflets not handed out and no interviewing schedule arranged.

4.5 Fieldwork dates

Fieldwork for Sample 1 reception interviews took place between 16 November 2005 and 9 November 2006, across a total of 47 prisons (including eight non-reception prisons involved predominantly in Sample 2), although the bulk of interviewing was complete by the end of July 2006. A small number of interviews conducted after July, originally sampled for Sample 2, were added to Sample 1 as their sentences were changed in the IIS data post-sampling to levels below Sample 2 requirements (but still within the requirements for Sample 1).

Sample 2 fieldwork took place from 28 June 2006 to 24 August 2007. There was some overlap in fieldwork between the two samples as early Sample 2 interviews were used as part of Sample 1 to make up numbers at the longer sentence lengths (see chapter 2 for an explanation of why this was necessary). A total of 55 prisons contributed interviews to Sample 2; a further three accommodated a single day of fieldwork but interviews were not useable.

Tables 4.1 and 4.2 outline fieldwork dates, numbers of interviews achieved (and were subsequently used in each Sample), type of interviews conducted, and the strike rate (average number of interviews per day) achieved at each prison. An average strike rate across Sample 1 prisons of 3.0 was achieved and 2.9 for Sample 2.

Table 4.1: Sample 1 interviews and fieldwork dates

Prison	Fieldwork dates	Reception	Combined	Total	Interviews per day
Prison 1	24/01/2006–9/11/2006	23	26	49	3.5
Prison 2	28/02/2006–20/04/2006	19	21	40	2.8
Prison 4	12/08/2006–30/09/2006	9	30	39	4.2
Prison 5	15/03/2006	2	-	2	2.0
Prison 6	15/03/2006–26/07/2006	5	17	22	1.8
Prison 7	3/03/2006–8/03/2006	8	2	10	2.5
Prison 8	22/02/2006–27/07/2006	8	24	32	3.1
Prison 9	10/04/2006–28/04/2006	5	5	10	1.6
Prison 10	27/01/2006–29/09/2006	13	15	28	3.4
Prison 11	22/02/2006–4/08/2006	40	21	61	2.6
Prison 56 ¹	6/10/2006	1	-	1	-
Prison 12	6/12/2005–19/05/2006	23	24	47	2.9
Prison 13	1/12/2005–2/02/2006	3	8	11	3.7
Prison 14	24/01/2006–5/07/2006	21	29	50	1.6
Prison 15	11/01/2006–5/10/2006	96	79	175	3.8
Prison 16	10/06/2006–3/08/2006	9	11	20	3.3
Prison 17	12/07/2006–8/09/2006	2	6	8	2.7
Prison 79 ¹	17/10/2006–7/11/2006	2	-	2	-
Prison 19	27/02/2006–22/05/2006	20	29	49	3.1
Prison 20	8/05/2006–11/05/2006	4	8	12	5.3
Prison 22	17/01/2006–7/07/2006	17	8	25	2.8
Prison 23	11/01/2006–31/05/2006	39	29	68	4.3
Prison 24	13/03/2006–5/06/2006	14	10	24	3.1
Prison 62 ¹	29/08/2006	1	-	1	-
Prison 25	16/01/2006–30/10/2006	28	24	52	2.6
Prison 63 ¹	22/09/2006–12/10/2006	3	-	3	-
Prison 26	12/07/2006–29/08/2006	15	13	28	3.9
Prison 27	30/11/2005–24/11/2006	15	17	32	2.4
Prison 28	30/03/2006–4/05/2006	8	7	15	2.5
Prison 30	10/04/2006–21/07/2006	55	50	105	3.1
Prison 64 ¹	5/10/2006	1	-	1	-
Prison 34	10/04/2006–2/10/2006	24	18	42	2.6
Prison 36	8/05/2006–12/05/2006	21	15	36	2.7
Prison 37	19/07/2006–2/08/2006	3	18	21	2.9
Prison 38 ¹	7/11/2006	1	-	1	-
Prison 39	27/02/2006–21/07/2006	16	22	38	3.6
Prison 40	15/05/2006–14/07/2006	27	9	36	4.0
Prison 54	25/05/2006–7/07/2006	7	24	31	1.6
Prison 44	24/03/2006–1/09/2006	24	19	43	3.8
Prison 67 ¹	21/09/2006	1	-	1	-
Prison 68 ¹	6/09/2006	-	1	1	-
Prison 46	6/08/2006–9/08/2006	4	6	10	2.5
Prison 47	28/06/2006–4/09/2006	5	19	24	2.4
Prison 49	4/04/2006–30/06/2006	13	23	36	4.0
Prison 50	16/11/2005–9/03/2006	27	17	44	2.8
Prison 51	12/01/2006–4/08/2006	12	23	35	3.6
Prison 52	3/08/2006–4/08/2006	4	10	14	3.5
Total	16 Nov 05 – 9 Nov 06	698	737	1,435	3.0

Source: Ipsos MORI

¹ Sample 2 prisons, not included in average strike rates

Table 4.2: Sample 2 interviews and fieldwork dates

Prison	Fieldwork dates		Total	Interviews per day
Prison 55	07/08/2006	- 23/02/2007	81	3.1
Prison 1	07/08/2006	- 09/11/2006	71	2.7
Prison 72	16/02/2007	- 24/08/2007	7	1.8
Prison 3	10/07/2006	- 10/07/2006	1	1.0
Prison 4	23/11/2006	- 19/05/2007	68	3.2
Prison 5	18/09/2006	- 01/02/2007	50	2.1
Prison 9	23/11/2006	- 07/12/2006	16	2.0
Prison 10	01/12/2006	- 07/08/2007	14	1.6
Prison 73	12/09/2006	- 20/04/2007	11	1.8
Prison 11	28/06/2006	- 09/02/2007	57	2.9
Prison 56	14/08/2006	- 22/11/2006	79	4.6
Prison 57	14/09/2006	- 05/03/2007	58	3.1
Prison 75	17/10/2006	- 19/07/2007	30	3.3
Prison 58	16/10/2006	- 01/05/2007	37	2.3
Prison 59	04/09/2006	- 16/11/2006	33	3.3
Prison 15	17/07/2006	- 02/04/2007	83	2.6
Prison 76	25/09/2006	- 02/08/2007	33	2.0
Prison 77	10/08/2006	- 03/08/2007	39	2.8
Prison 17	30/10/2006	- 27/03/2007	63	3.3
Prison 78	08/03/2007	- 08/03/2007	3	1.5
Prison 18	24/08/2006	- 16/05/2007	15	2.1
Prison 79	12/09/2006	- 13/03/2007	34	2.5
Prison 60	14/08/2006	- 21/02/2007	78	3.0
Prison 61	29/09/2006	- 24/01/2007	29	2.6
Prison 22	23/11/2006	- 08/06/2007	15	1.9
Prison 62	29/08/2006	- 03/04/2007	48	3.4
Prison 25	21/09/2006	- 16/04/2007	29	2.2
Prison 63	18/09/2006	- 19/03/2007	61	2.8
Prison 26	29/08/2006	- 08/08/2007	39	2.4
Prison 27	24/08/2006	- 18/04/2007	67	2.2
Prison 28	04/12/2006	- 13/04/2007	40	2.0
Prison 30	13/07/2006	- 19/04/2007	35	1.5
Prison 64	04/09/2006	- 27/04/2007	91	3.8
Prison 34	26/09/2006	- 27/04/2007	119	3.2
Prison 35	30/11/2006	- 01/08/2007	36	3.0
Prison 36	25/10/2006	- 20/04/2007	24	2.3
Prison 65	10/08/2006	- 05/04/2007	12	2.0
Prison 80	07/08/2006	- 13/02/2007	19	3.2
Prison 37	20/11/2006	- 20/07/2007	46	2.4
Prison 38	06/11/2006	- 28/03/2007	41	3.2
Prison 66	03/10/2006	- 19/03/2007	44	2.3
Prison 40	11/07/2006	- 30/01/2007	96	3.2
Prison 54	15/11/2006	- 14/08/2007	74	2.5
Prison 44	25/08/2006	- 18/05/2007	67	2.3
Prison 67	19/09/2006	- 20/04/2007	55	2.8
Prison 68	26/07/2006	- 07/09/2006	46	3.1
Prison 69	14/11/2006	- 17/11/2006	36	4.5
Prison 81	14/09/2006	- 29/05/2007	13	1.9
Prison 70	29/11/2006	- 17/04/2007	24	2.7
Prison 47	03/08/2006	- 05/06/2007	105	1.9
Prison 48	20/11/2006	- 12/07/2007	47	2.6
Prison 71	04/12/2006	- 04/12/2006	2	2.0
Prison 50	12/09/2006	- 07/02/2007	18	1.8
Prison 51	13/11/2006	- 22/11/2006	43	3.9
Prison 52	19/09/2006	- 31/01/2007	32	1.4
Total	28/06/2006	- 24/08/2007	2,414	2.9

Source: Ipsos MORI

4.6 Monitoring fieldwork through the Survey Management System

The Survey Management System (SMS) allowed Ipsos MORI staff to monitor fieldwork. This system collates data from a number of different sources including:

- Initial LIDS sampling data (Sample 1 sample)
- Data from CAPI interviews (up to seven questionnaire versions have been in field at any one time and the system collates information from their datasets)
- Booked in contact sheets
- IIS data which is provided and uploaded monthly
- Address information used to trace respondents for follow-up phases (provided by the DWP and Probation Service).

Data was linked using the unique prisoner ID assigned to each individual by the Prison Service, and a separate reference number assigned to each interviewed respondent. The database was also used to run off reports showing survey progress, and to schedule interviews at the pre- and post-release stages.

4.7 Wider involvement

The Ipsos MORI project team met with the team from the Home Office/MOJ every month throughout the reception interviewing. A note was produced for each meeting summarising progress and any issues that surfaced that month (copies of the monthly notes are available on request). This was a useful way of ensuring all parties were aware of and happy with any changes to the original specification and issues were dealt with in consultation. In addition a Steering Group meeting was held during the reception phase.

5. Data protection

5.1 Background

One of the assets of SPCR is the cooperation which was secured by the Home Office at the study's outset from other government departments. This allowed Ipsos MORI researchers access to Department for Work and Pensions (DWP) address data on offenders, for example, to assist with tracing in the community.

SPCR necessarily involved the handling and transfer of highly sensitive data between the various agencies involved in the study (HM Prison Service, the Home Office, Ipsos MORI and the DWP). This sensitivity, and the potential vulnerability of those being interviewed, meant that close adherence to data protection guidelines was a central imperative for the study. These guidelines, laid out in the Data Protection Act (DPA) of 1998, govern how personal data is used, and ensure that any agencies handling personal data maintain the confidentiality of those whose details they use. Procedures and systems were put in place to meet data protection requirements at all stages of the project.

All procedures to comply with data protection on SPCR were vetted and approved by compliance teams at the DWP, Home Office/Ministry of Justice and Ipsos MORI. This took time: it was important to involve compliance teams as early as possible in the process, whilst not underestimating the time involved in meeting guidelines.

5.2 Procedures to comply with data protection

Provision of an “opt out”

The Ipsos MORI/RDS NOMS project teams discussed at length the different methods of gaining respondents' consent to participate in the survey. Securing this consent was necessary so that Ipsos MORI could process prisoners' personal data for the study. Two options were discussed:

- An “opt in” approach: this would require Ipsos MORI to gain the explicit consent of all those taking part in the survey by sending a letter to prisoners asking them to respond if they would like to be interviewed. Only those who responded would be contacted for interview.
- An “opt out” approach: this would require the Prison Service (acting on behalf of the Home Office/Ministry of Justice) to alert potential participants that a research project was being undertaken and allow them the opportunity to opt out of taking part. Respondents would inform Prison Service staff only if they did not wish to

be interviewed, and all those not opting out in this way would be contacted for interview.

An opt out approach was preferred, as the alternative (opt in) would exclude the large numbers of prisoners who would not respond to such an invitation. Opt outs were processed by supplying prisons with letters and leaflets explaining the study, which were handed to sampled prisoners prior to interview days. The leaflet gave basic details such as the duration of the study, level of participation required, when respondents could expect to be contacted again, and an outline of the content of interviews. Both the leaflet and letter explained the importance of the study and guaranteed that respondents' confidentiality would be protected.

In addition to giving prisoners the chance to opt out of the survey before they were approached, this process formed the first step to gaining the informed consent of participants. Respondents were also required to sign a consent form prior to interview and had further opportunities to opt out before and during the interview, which formed additional steps towards gaining informed consent.

Ipsos MORI as “data processor”

The DPA requires survey companies handling personal information held by government agencies to set up contracts which make explicit the uses to which the personal data will be put. In the case of SPCR access was sought to several data sources via several data controllers (Home Office/MOJ, Prison Service and DWP). However, only one contract was required, with the Home Office/MOJ, as access to other data is facilitated via the Home Office/MOJ's own contractual arrangements with these agencies. This agreement designated Ipsos MORI as a “data processor”, allowing Ipsos MORI to use information to select eligible prisoners and ask prison staff to send opt out letters to potential respondents.

Sampling, data transmission and handling

A small amount of personal data was needed prior to providing opt outs to prisoners to ensure they met the eligibility requirements for the study. This information was kept to the minimum amount necessary to confirm prisoners' eligibility and for prison staff to identify and pass on opt out letters and leaflets to prisoners. Further details are provided in section 2.2.

All information was transmitted via secure means between prisons, the Home Office/MOJ and Ipsos MORI offices – either via the encrypted Criminal Justice Secure eMail service (all government prisons have access to this system), or in a very small number of cases via normal email with the use of password protected files or via fax. DWP data was sent via post

in password protected files stored on data disks. Sensitive project information was stored on a secure server location accessible only to the Ipsos MORI project team.

Lists of sampled prisoners were not provided to interviewers before interview days or at any other stage. To minimise risk of interception this sensitive information would have to be sent via registered post. As interview days were allocated at short notice this would have been unworkable, and so the survey relied on prison staff to bring forward the correct individuals for interview. Interviewers' CAPI laptops did contain sample information including some respondent personal details (prisoner ID, name, date of birth, etc.), which could be used to verify that the person brought forward was the individual selected, and which questionnaire/survey stage they should receive. Interviewer laptops were password protected, encrypted, and kept secure at all times.

All Ipsos MORI staff adhere to the Market Research Society Code of Conduct which requires compliance with the Data Protection Act (DPA). All Ipsos MORI staff, and therefore all staff working on SPCR, were fully trained in the DPA and the practical implications of the DPA for conducting surveys. All Ipsos MORI interviewers sign an MRS Code of Conduct Statement.

Consent forms

A two-tier consent form process was used on SPCR whereby prisoners agreed to the following before taking part in the interview:

- To take part in the study, including permission (which could later be withdrawn) to be re-contacted for the future waves; and
- To allow the research team at Ipsos MORI to access “administrative information and criminal records data” held by prisons staff, the Prison Service and/or Probation Service.

These consents were considered essential to a prisoners' participation in the study and so an interview was not conducted if they were refused. At the end of interviews an additional set of consents were sought, to ask for permission to:

- Match DWP and HMRC data on employment, earnings and benefits to the survey answers. This consent made explicit the need to pass personal information including National Insurance numbers (NINOs) to the DWP for the purpose of matching to this data; it established the Home Office/MOJ as the owner of all linked data; and guaranteed the confidentiality of the data and that it would not have any impact on the respondent in their dealings with the DWP or HMRC.

- For the DWP to pass to Ipsos MORI prisoner address data for tracing purposes.

These additional consents were not considered essential to the fieldwork and so were collected at the end of the interviews. All consents were voluntary. The majority of prisoners were willing to sign these consents (68% agreed to have their answers matched to DWP/HMRC data, and 88% for their addresses to be traced), and were able to provide their NINOs (68%).

Qualified confidentiality

Ipsos MORI interviewers were required to warn respondents at the beginning of interviews of potential limitations to their confidentiality, arising if they indicated harm to themselves or others, or indicated anything that could be considered a threat to prison security. The following statement was read out at the beginning of each interview:

Before we start the interview, I need to make you aware that while everything you say will be treated in the strictest confidence, if you do disclose any information that would indicate any harm to yourself, to any other individuals or anything that would be considered a danger to security, I will need to pass this information on.

Interviewers were also trained and specifically briefed to steer respondents away from disclosing specific details during the interview which might necessitate disclosure. So while some of the questions focused on the frequency and type of offences committed, the questions did not ask for the particular circumstances or individuals involved in each case.

Confidentiality reminders were also read out during the interviews, particularly before sensitive questions, to remind respondents that it was safe to reveal the information.

On a handful of occasions respondents did disclose information unrelated to interviews that needed to be passed on, or asked interviewers to relay information to third parties. These instances were dealt with on a case-by-case basis in close liaison with RDS NOMS.

6. Data checks and processing

6.1 Data quality procedures

All range and consistency checks were carried out in the interview as part of the CAPI (computer assisted personal interview) program. Interviewers were alerted to any anomalies within the data and resolved them with the respondent during the interview.

A series of structure checks were carried out on the SPSS dataset. The structure checks ensured that:

- there was a record for each respondent;
- all question routing worked correctly;
- all respondents had a unique serial number (e.g. no duplicates); and
- all variables were coded correctly with a positive code on every question that should have been asked and appropriate missing value codes for those who did not answer the question (see missing values section below for more details).

Plausibility checks were run on the SPSS dataset to check that the answers given were reasonable and as expected, and that there were not any extreme outliers (particularly high or low values) in the data.

6.2 Coding

Occupation and socio-economic class

Occupation details about the respondent's last job were collected during the interview. Occupations were coded to the Standard Occupational Classification (SOC2000) after the interview.

The National Statistics Socio-economic Classification (NSSEC) was derived automatically using an algorithm based on occupation, employment status and size of organisation. While the survey collected all of the occupation details required for the classification, some of the data needed to classify the employment status and size of organisation was not collected among respondents who were previously self-employed. The employment status and organisation size were therefore estimated for this group using data from the Labour Force Survey (LFS) (July to September 2006).

Analysis of the LFS

On the NSSEC classification, employment status distinguishes between self-employed people working on their own or with a partner/s, and those with employees. Size of organisation is divided into self-employed people with less than 25 employees (small organisation) and those with more than 25 employees (large organisation). Analysis of the LFS showed that 77% of self-employed people worked on their own, 22% had fewer than 25 employees, and 2% had more than 25 employees.

Employment status was related to SOC, with those in the higher SOC groups being the most likely to have employees, and those in the lower groups being the least likely. However, it was only among the top three SOC groups that the presence of employees had an impact on the NSSEC classification group (“Managers and Senior Officials”, “Professional occupations”, and “Associate Professional and Technical Occupations”). Among self-employed people in these three SOC groups, 33% had employees.

Within Sample 1 there were 92 respondents in the top three SOC groups. Based on the LFS figures, around a third of these are likely to have employees. This equates to just 15 respondents (1% of the sample). Given that the group and the associated error with underestimating their employment status is very small, all respondents were classified as having no employees.

Economic activity

Respondents were asked how they mainly spent their time in the four weeks before coming into custody (D1Stat). Answer categories included:

1. In full-time education
2. Unemployed and looking for work or training
3. Unemployed but not looking for work or training
4. On a Government scheme for employment training
5. Permanently unable to work because of long-term sickness or disability
6. Retired
7. Looking after home or family
8. Doing something else

Ten per cent of respondents (152) said they were doing something else and specified what this was. These responses were coded to the following categories (D1StatOC):

1. Offending/avoiding the Police/drug dealing/taking drugs (46% of those who said they did something else)

2. In prison or custody/community service/DTTO/probation/bail (7%)
3. Casual work/voluntary work/self-employed (11%)
4. Education/training (3%)
5. Hanging about/with friends/family/leisure activities (13%)
6. Sick (3%)
7. Receiving drug/alcohol treatment/drinking (2%)
8. Homeless/on streets/trying to get accommodation (8%)
9. Prostitution (1%)
10. Nothing (1%)
11. Other (3%)

Note that the base numbers for all of the categories apart from the first one are very small. This should be taken into account when carrying out any analysis.

6.3 Weighting

Careful consideration was given to whether and how to weight the Sample 1 and Sample 2 samples. Ultimately it was decided not to weight the data because of the difficulty in agreeing the characteristics of the population to weight to. This was because of the nature of the sampling (two databases, the LIDS and IIS, were used, but count the population in different ways) and the period of time for sampling.

6.4 SPSS datasets

Sample 1

The Sample 1 SPSS dataset contains one record for each prisoner interviewed. The file has 1,435 records – 737 respondents completed the full questionnaire including the combined questions, and 698 completed reception questions only. The combined sections are positioned at the end of the dataset, from variable J2Cell onwards. The interview order for combined respondents differed slightly from this; the sections unique to these respondents actually fit in their current order before the attitudes to offending section, from I1Att1.

Sample 2

The Sample 2 SPSS dataset contains 2,414 records – 2,014 male and 400 female. All were asked the reception questions only.

Variable labelling

Each variable name corresponds with the question name shown in the questionnaire.

Unique identifiers

There are two unique identifiers on the dataset: Prisoner Identifier (PrisonerID) and Survey Management System Reference (SMSRef). The latter is used by Ipsos MORI to record and track respondents on the system. Both can be used to match a respondent's data between survey waves.

Missing values

Missing values on the questionnaire variables are divided into three categories:

- missing
- don't know, when not a valid code as per the questionnaire
- not stated/refused.

Respondents who were not asked the question were coded as missing. Those that were asked the question but did not provide an answer were coded not stated/refused.

IIS offence code variables

IIS variables include an additional missing value: "Not matched to IIS".

Derived variables

The following derived variables were added to the data:

- Number of weeks spent on community service or under supervision by a probation officer (B1ProbW) – derived from "Amount of time spent on community service or under supervision by a probation officer" (B1ProbD1 and B1ProbD2).
- Number of weeks ever spent in prison (B1PrTotW) – derived from "Amount of time ever spent in prison" (B1PrTotU1 and B1PrTotU2).
- Number of weeks spent in prison in the last 12 months (B1PrrebU) – derived from "Amount of time spent in prison in the last 12 months" (B1PrrebU1 and B1PrrebU2).
- Number of weeks spent in prison during last prison sentence (B1PrTimU) – derived from "Amount of time spent in prison last time (B1PrTimU1 and B1PrTimU2).
- Weekly gross pay from last take home pay (D1JWk) – derived from "Gross take home pay last time paid" (D1JPay) and "Period last pay covered" (D1JPer).
- Weekly pay from casual/cash-in-hand work (D1Cwk) – derived from "Pay received for last cash-in-hand/casual work done" (D1Amnt) and "Period over which this pay was received" (D1Cper).

Administrative data

Administrative data provided or matched onto the dataset included:

- prison where interview conducted (Prison)
- interview date (IntDate)
- questionnaire type (QType)
- respondent consent given to link DWP and HRC data to survey answers (ConsentMatch)
- respondent consent given to trace address using DWP data (ConsentAddr).
- respondent's National Insurance number (RespNINO).

Where necessary the data was matched using the unique prisoner identifier (PrisonerID).

6.5 Matching IIS data

The following IIS data were matched onto the SPSS dataset:

- IIS Effective Offence Code (EffOff)
- IIS Offence (OffMark)
- effective length of sentence in days (EffLen)
- original length of sentence in days (OrigLen)
- first date of reception into custody (DatRec1)
- length in custody in days until interview date (DaysInside)

As with the administrative data, the IIS data was matched using the unique prisoner identifier (PrisonerID).

And looking at this card, to which ethnic group do you consider yourself to belong?

1. White – British
 2. White – Irish
 3. White – Any other White background
 4. Mixed – White and Black Caribbean
 5. Mixed – White and Black African
 6. Mixed – White and Asian
 7. Mixed – Any other mixed background
 8. Asian or Asian British – Indian
 9. Asian or Asian British – Pakistani
 10. Asian or Asian British – Bangladeshi
 11. Asian or Asian British – Any other Asian background
 12. Black or Black British – Caribbean
 13. Black or Black British – African
 14. Black or Black British – Any other Black background
 15. Other ethnic groups – Chinese
 16. Other ethnic groups – Any other ethnic group – write-in
- Not stated/refused

{Ask all}

A1SentU

I would now like to check a few details about your current sentence.

How long is your current sentence?

INTERVIEWER: Code whether answer given in weeks, months or years. Convert to months or weeks if respondent gives a combination.

Weeks

Months

Years

HARD CHECK – over 4 years ineligible

Not stated/refused

{Ask all}

A1SentN

INTERVIEWER: Code number of weeks, months or years: : 1..997

Not stated/refused

{Derived variable}

A1SentWk

{If A1SentU=weeks, A1SentWk=A1SentN

If A1SentU=months, A1SentWk=4.33(A1SentN)

If A1SentU=years, A1SentWk=52(A1SentN)}

Current sentence in weeks: 0..99997

Not stated/refused

{Ask all}

A1ServN

So how many weeks of your current sentence have you served?: 1..997

HARD CHECK – must be <A1SentWk. Error message: 'Sentence must be longer than time already served'

Not stated/refused

{Ask all}

A1Remand

Were you on remand before your current sentence?:

1. Yes
 2. No
- Not stated/refused

{Ask if A1Remand=yes}

A1RemW

How many weeks were you on remand for?: 1..997

HARD CHECK – must be <A1SentWk. Error message: ‘Sentence must be longer than time already served’

Not stated/refused

{Ask if A1Remand=yes}

A1RemSen

Did any of your time on remand count as part of your sentence?

1. Yes
2. No
3. Don’t know

Not stated/refused

{Ask if A1Remand=yes}

A1RemL

How many weeks?: 1..997

Don’t know

Not stated/refused

{Hard Check: A1RemL must not exceed A1RemW}

{Ask all}

A1Start

So on what date did you start your period in custody for your current sentence? {Textfill if A1Remand=1: Please include the time you spent on remand only if it counted as part of your sentence.} : DDMMYYYY

Don’t know

Not stated/refused

{Ask all}

A1PrisN

How many prisons or young offender institutions have you been in during your current sentence, including this one?: 1..97

Don’t know

Not stated/refused

{Ask if A1PrisN>1}

A1PrisL

How many weeks have you been at this prison/young offender institution?:1..97

HARD CHECK – must be <A1SentWk. Error message: ‘Sentence must be longer than time already served’

Don’t know

Not stated/refused

{Ask all}

A1Rel

And on what date are you due to be released?

INTERVIEWER: Please enter DDMMYYYY: DD/MM/YYYY

HARD CHECK: must be later than today’s date.

Don't know
Not stated/refused

BLOCK B: CONTACT WITH CJS AND NEEDS

{Ask all}

B1Arrest

Now I would like to ask you a little bit about any previous contact you may have had with the criminal justice system.

Firstly, how old were you when you were arrested for the first time?: 0..997

{Hard Check: B1Arrest must not exceed A1Age}

Don't know

Not stated/refused

{Ask all}

B1Prob

Have you ever been sentenced to community service, or supervision with a probation officer?:

1. Yes
2. No – route to B1Pris
3. Don't know
4. Not stated/refused

{Ask if B1Prob=yes}

B1ProbA

How old were you when you were sentenced to community service or supervision by a probation officer for the first time?:10..997

Don't know

Not stated/refused

{Ask if B1Prob=yes}

B1ProbN

How many times have you been sentenced to community service or supervision by a probation officer?: 1..997

Don't know

Not stated/refused

{Ask if B1Prob=yes}

B1ProbD

Altogether, how much time have you spent on community service or under supervision by a probation officer?

INTERVIEWER: Code whether answer is given as number of hours, weeks, months or years.:

Hours

Weeks

Months

Years

Don't know

Not stated/refused

{Ask if B1Prob=yes}

B1ProbPr

Did you spend any time on community service or under supervision by a probation officer in the 12 months before you came into custody, that is between <A1start less 12 months> and <A1start>?:

1. Yes
 2. No – route to B1Pris
- Don't know
Not stated/Refused

{Ask f B1ProbPr=yes or don't know or not stated/refused}

B1PrPN

How many times were you on community service or under supervision during this time? :

- 1..97
HARD CHECK can't be more than B1ProbA
Don't know
Not stated/refused

{Ask f B1ProbPr=yes or don't know or not stated/refused}

B1PrPD

Altogether, how many weeks did you spend on community service or under supervision during this period?: 1..52

- Can't be more than B1ProbDU
Don't know
Not stated/refused

{Ask f B1ProbPr=yes or don't know or not stated/refused}

B1Prps

What kind of community sentence did you serve?

CODE ALL THAT APPLY

1. Community rehabilitation order (CRO)
2. Enhanced community punishment (ECP)
3. Community punishment order (CPO)
4. Drug treatment and testing order (DTTO)
5. Intensive control and change programme (ICCP)
6. Curfew order with electronic tagging
7. On licence following release from prison
8. Other

Don't know
Not stated/refused

{Ask f B1ProbPr=yes or don't know or not stated/refused}

B1PrpDbs

And during this period, were you taken back to court for breaching your [text sub] at all?

1. Yes
 2. No
- Don't know
Not stated/refused

{Ask if B1PrpDbs=yes}

B1PrpDcs

What did the court do about the breach?

1. The court threw out the breach
2. I received a warning but the sentence continued
3. I received a fine for the breach and the sentence continued

4. I received community service (unpaid work) hours as a punishment and the sentence continued
 5. The order was revoked and a new sentence was imposed
 6. The order was terminated and no additional punishment imposed
 7. Other
- Don't know
Not stated/refused

{Ask if B1Prps=1,2,3,5,6,7,8}

B1PrDrg

Were you required to attend a drug treatment programme as a part of this community service or supervision by the probation service?..:

1. Yes
2. No

Don't know
Not stated/refused

{Ask if B1Prps=4 or B1PrDrg=yes}

B1PrTr

SHOWCARD B1.

What type of treatment did you receive [text fill if B1PrDrg = 2 & B1PrPD = 4: “, if any, during your drug treatment testing order”?

CODE ALL THAT APPLY:

1. Detox
2. Residential rehab programme
3. Group counselling (NOT part of a rehab programme)
4. Individual or one-to-one counselling
5. Prescribed a substitute drug (e.g. methadone or buprenorphine/Subutex) by a GP/family doctor or another community drugs service
6. Some other type of treatment – specify
7. Did not receive treatment

Don't know
Not stated/refused

{Ask if B1PrTr=other}

B1PrTrO

INTERVIEWER: Record the other type of treatment.: OPEN

{Ask if B1PropPr=yes or don't know or not stated/refused}

B1Pras1

Did you receive any other types of help during your community service or supervision by a probation officer?

SHOWCARD B2.

CODE ALL THAT APPLY:

1. Help with finding a place to live
2. Help with finding a job
3. Job training
4. Help with reading, writing, or using numbers
5. Help with getting a qualification
6. Help with an alcohol problem
7. Help with a psychological or emotional problem
8. Help with a physical health problem
9. Victim awareness
10. None of these

Don't know

Not stated/refused

{Ask all}

B1Pris

Before your current sentence, had you ever been sentenced to imprisonment? Please include being sentenced to spend time in a Young Offenders Institution and any sentences served on remand:

1. Yes
2. No

Not stated/refused

{Ask if B1Pris=yes}

B1PrisA

How old were you the first time you were sentenced to imprisonment?:10..997

Don't know

Not stated/refused

{Hard Check – B1PrisA must not exceed A1Age}

{Ask if B1Pris=yes}

B1PrisN

How many times had you been sentenced to imprisonment before this sentence?: 1..97

Don't know

Not stated/refused

{Ask if B1Pris=yes and B1PrisN>1}

B1PrTotU

Before this sentence, how much time have you ever spent in prison?

INTERVIEWER: Code whether answer given as number of weeks, months, or years:

Weeks

Months

Years

Don't know

Not stated/refused

{Ask if B1Pris=yes}

B1PrPre

Did you spend any time in prison during the 12 months before you came into custody this time, that is between <A1start less 12 months> and <A1start>?

Please include any time you spent in prison on remand.:

1. Yes
2. No

Don't know

Not stated/refused

{Ask if B1PrPre=yes}

B1PreA

How many times were you in prison in this period?:1..97

Can't be bigger than B1PrisN

Don't know

Not stated/refused

{Ask if B1PrPre=yes}

B1PrebU

Altogether, how long did you spend in prison in this period, that is between <A1start less 12 months> and <A1start>?

Can't be bigger than B1PrToTU

Please include any time you spent in prison on remand.

INTERVIEWER: Code whether answer given as number of weeks or months:

Weeks

Months

Don't know

Not stated/refused

{Ask if B1PrPre=yes}

B1Preb

INTERVIEWER: Code number of weeks or months: 1..52

Don't know

Not stated/refused

{Ask if B1PrPre=yes}

B1PrRel

Can I just check, on what date were you released from your last prison sentence?:

DDMMYYYY

Don't know

Not stated/refused

{Ask if B1PrPre=yes}

B1PrTimU

And how long were you in prison last time?

INTERVIEWER: Code whether answer given in weeks, months or years:

Can't be bigger than B1PrToTU

Weeks

Months

Years

Don't know

Not stated/refused

{Ask if B1PrPre=yes}

B1Treat

SHOWCARD B3

I'd now like to ask you about different types of help you might receive in prison.

Thinking about the last time you were in prison, did you receive any of these?

CODE ALL THAT APPLY:

1. Help with finding a place to live
2. Help with finding a job
3. Job training
4. Help with reading, writing, or using numbers
5. Help with getting a qualification
6. Help with a drug problem
7. Help with an alcohol problem
8. Help with a mental health or emotional problem
9. Help with a physical health problem
10. Victim awareness
11. Mentoring
12. Other
13. None of these

Don't know

Not stated/refused

{Ask if B1Treat=6}

B1UseDr

SHOWCARD B4.

Thinking about the help you got with a drug problem in prison, what type of treatment did you receive? CODE ALL THAT APPLY

1. Detox
2. Residential rehab programme (eg. TC, RAPt etc.)
3. Group counselling (NOT part of a rehab programme)
4. Individual/one-to-one counselling
5. Prescribed methadone or buprenorphine maintenance
6. Another type of treatment – specify

Don't know

Not stated/refused

{Ask if B1UseDr=other}

B1DrOth

INTERVIEWER: Code other type of treatment: OPEN

Don't know

Not stated/refused

{Ask if B1Treat=7}

B1UseAl

SHOWCARD B5

Thinking about the help you got with an alcohol problem in prison, what type of treatment did you receive? CODE ALL THAT APPLY

1. Detox
2. Rehab programme (eg. TC, RAPt etc.)
3. Group counselling (NOT part of a rehab programme)
4. Individual or one-to-one counselling
5. Another type of treatment – specify

Don't know

Not stated/refused

{Ask if B1UseAl=5}

B1AlOth

INTERVIEWER: Code other type of treatment: OPEN

Don't know

Not stated/refused

{Ask if B1Pris=yes}

B1OffBeh

And can I check, last time you were in prison, did you take part in any programmes to help you control anger or violent behaviour, to help your thinking skills or to understand your offending?

1. Yes
2. No

Don't know

Not stated/refused

{Ask if B1OffBeh=yes}

B1OffB

Which programmes did you take part in?

CODE ALL THAT APPLY

1. CALM (Controlling Anger and Learning to Manage it)
2. CSCP (Cognitive Self Change Programme)

3. Healthy Relationships
 4. ETS (Enhanced Thinking Skills)
 5. Cognitive Skills Booster
 6. Think First
 7. R&R (Reasoning and Rehabilitation)
 8. SOTP (Sex Offender Treatment Programme)
 9. FOR (Focus on Resettlement)
 10. Other
- Don't know
Not stated/refused

Prisoner needs on reception

{Ask all}

B1NeedA

Now I would like to ask you about whether you think you currently need any help or support for certain things. This is strictly confidential and will not be passed onto or acted upon by anyone in the CJS unless you have already spoken to them.

Do you need any help for a drug problem?

If yes: do you think you need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedB

Do you need any help for an alcohol problem?

If yes: do you think you need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedC

Do you need any help for a mental health or emotional problem?

If yes: do you think you need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedD

Do you need any help for a medical problem?

If yes: do you think you need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedE

Do you need any help for a problem concerning your family or children?

If yes: do you think you need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedF

Do you need any help to tackle your offending behaviour?

If yes: do you think you need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedG

Do you need any help to improve your reading, writing or ability with numbers?

If yes: do you think you need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedH

Do you need any help to improve your education, for example by getting a qualification?

If yes: do you think you need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedI

Do you need any help to improve your work related skills?

If yes: do you think you need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedJ

Are you going to need any help finding a place to live for your release?

If yes: do you think you will need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1NeedK

Are you going to need any help finding a job for when you are released?

If yes: do you think you will need a little or a lot of help?

1. No help
2. A little help
3. A lot of help

Don't know

Not stated/refused

{Ask all}

B1MotivA1

SHOWCARD B6.

I am now going to read a number of statements. Using the codes on this card, please tell me how much you agree or disagree with each of them.

I want to stop offending

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree

Don't know

Not stated/refused

{Ask all}

B1MotivA2

I'm hoping I can get some help in prison to stop me re-offending

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree

Don't know

Not stated/refused

{Ask all}

B1MotivA3

When I first came into this prison I felt looked after

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree

Don't know

Not stated/refused

{Ask all}

B1MotivA4

When I came into this prison I felt worried and confused

1. Strongly agree
 2. Agree
 3. Neither agree nor disagree
 4. Disagree
 5. Strongly disagree
- Don't know
Not stated/refused

{Ask all}

B1MotivA5

In the first few days in this prison, staff treated me as an individual

1. Strongly agree
 2. Agree
 3. Neither agree nor disagree
 4. Disagree
 5. Strongly disagree
- Don't know
Not stated/refused

{Ask all}

B1MotivA6

I felt extremely alone during my first days in this prison

1. Strongly agree
 2. Agree
 3. Neither agree nor disagree
 4. Disagree
 5. Strongly disagree
- Don't know
Not stated/refused

{Ask all}

B1MotivA7

The induction process in this prison helped me know what to expect in the daily regime and when it would happen

1. Strongly agree
 2. Agree
 3. Neither agree nor disagree
 4. Disagree
 5. Strongly disagree
- Don't know
Not stated/refused

BLOCK C: ACCOMMODATION AND RELATIONSHIPS

READ OUT

I would now like to ask you some questions about where you lived before you entered custody.

Accommodation

{Ask all}

C1Acc

SHOWCARD C1.

Which of the these describes where you lived just before you came into custody?

1. In a house or flat that you (or your partner) owned
2. In a house or flat that you (or your partner) rented
3. In a house or flat that you (or your partner) part rented and part owned (shared ownership)
4. Paying board In a house or flat owned by someone else
5. Paying board In a house or flat rented by someone else
6. Living rent free in a house or flat owned or rented by someone else (but not in a squat)
7. In a squat
8. In a probation or bail hostel
9. In a DSS or other hostel
10. In supported accommodation (e.g. sheltered housing, refuge or foyer)
11. In any other temporary accommodation (e.g. B&B, night shelter)
12. Homeless and sleeping rough
13. Other – specify

Not stated/refused

{Ask if C1Acc=other}

C1OthAC

INTERVIEWER: Record 'Other' accommodation.

Don't know

Not stated/refused

{Ask if C1Acc=2,3,5,6}

C1Land

Was this property rented from a Local Authority, housing association or private landlord?

1. Local Authority
2. Housing association
3. Private landlord

Don't know

Not stated/refused

{Ask if C1Acc=12}

C1Long

How long had you been homeless or sleeping rough before you came into custody?

1. Less than 6 months
2. More than 6 months, up to a year
3. 12 months, but less than 2 years
4. 2 years, but less than 5 years
5. 5 years, but less than 10 years
6. 10 years or more

Don't know

Not stated/refused

{Ask if C1Acc<>12}

C1Long

How long had you been living there before you came into custody?

1. Less than 6 months
2. More than 6 months, up to a year

3. 12 months, but less than 2 years
 4. 2 years, but less than 5 years
 5. 5 years, but less than 10 years
 6. 10 years or more
- Don't know
Not stated/refused

{Ask if C1Acc<>12}

C1Long1-9

Who was living with you?
CODE ALL THAT APPLY

1. Nobody – was living alone
 2. Husband/wife/partner/boyfriend/girlfriend
 3. Parents/in-laws
 4. Adult children (aged 18+)
 5. Dependent children (aged under 18)
 6. Other adult relations
 7. Friends
 8. Other
- Not stated/refused

{Ask if C1Acc<>11 or 12}

C1Back

Do you expect to go back to the same accommodation when you are released?

1. Yes
 2. No
 3. Maybe/not sure
- Not stated/refused

{Ask if C1Back=No}

C1Lost

Why not? INT INSTR: "Prompt for main reason if more than one"

1. I ended my tenancy since coming into prison
 2. My tenancy expired
 3. I was evicted
 4. Family/friends disagreement/fallen out
 5. It was temporary accommodation
 6. I have had to sell my house
 7. I want to live in a different property
 8. I want to live in a different area
 9. Other
- Don't know
Not stated refused

Relationships and children

{Ask all}

C1Marry

And when you came into custody, what was your marital status? Were you...READ OUT...

1. Married
2. Living with a partner
3. Single
4. Widowed

5. Divorced
 6. Separated from your husband/wife
- Not stated/refused

{Ask all}

C1Chil

At the time you were imprisoned [text substitution, add "this time" if B1Pris = yes], did you have any sons or daughters (including step-sons and daughters) aged under 18? :

1. Yes
 2. No
- Not stated/refused

{Ask if C1Chil=yes}

C1ChilN

How many?: 1..97

- Don't know
- Not stated/refused

{Ask if C1ChilN=1}

C1Age

How old is he/she? 0..17

- Don't know
- Not stated/refused

{Ask if C1ChilN>1 and <>don't know}

C1Yng

What age is the youngest? 0..17

- Don't know
- Not stated/refused

{Ask if C1ChilN>1 and <>don't know}

C1Old

What age is the oldest? 0..17

- Don't know
- Not stated/refused

{Ask if C1Chil=yes}

C1Livs

Were any of these children, including step children, living with you before you came into prison this time?

1. Yes
 2. No
- Not stated/refused

{Ask is C1Livs=yes}

C1LivNow

Who do they live with now / Who does he/she live with now?

CODE ALL THAT APPLY:

1. With your husband/wife/partner
2. With former husband/wife/partner
3. With your parents/in-laws
4. With other adult relatives
5. With foster parents
6. In a children's home/in care
7. Looking after themselves

- 8. In a mother and baby unit in prison
- 9. Other – specify
- Don't know
- Not stated/refused

{Ask if C1LivNow=other}

C1OthLiv

INTERVIEWER: Record other living arrangement. :OPEN

- Don't know
- Not stated/refused

C1FamInt

SHOWCARD C2/3

I am now going to read out some statements about how you may feel about your relationships with your family. Please can you tell me how much you agree or disagree with each of them:

Please think about your parents and other relatives as well as any sons/daughters and your husband/wife/partner.

{Ask all}

C1FamA

SHOWCARD C2

I feel close to my family.

- 1. Strongly agree
- 2. Agree
- 3. Neither agree nor disagree
- 4. Disagree
- 5. Strongly disagree
- 6. No family
- Don't know

{If C1FamA<>6}

C1FamB

SHOWCARD C3

I want my family to be involved in my life

- 1 Strongly agree.
- 2 Agree
- 3 Neither agree nor disagree
- 4 Disagree
- 5 Strongly disagree
- Don't know

{If C1FamA<>6}

C1FamC

SHOWCARD C3

I consider myself a source of emotional support for my family.

- 1. Strongly agree
- 2. Agree
- 3. Neither agree nor disagree
- 4. Disagree
- 5. Strongly disagree
- Don't know

{If C1FamA<>6}

C1FamD

SHOWCARD C3

My family is a source of emotional support for me.

- 1 Strongly agree
 - 2 Agree
 - 3 Neither agree nor disagree
 - 4 Disagree
 - 5 Strongly disagree
- Don't know

{If C1FamA<>6}

C1FamE

SHOWCARD C3

The care of my family is mainly my responsibility.

- 1 Strongly agree
 - 2 Agree
 - 3 Neither agree nor disagree
 - 4 Disagree
 - 5 Strongly disagree
- Don't know

{If C1FamA<>6}

SHOWCARD C3

I've let my family down by being sent to prison

- 1 Strongly agree
 - 2 Agree
 - 3 Neither agree nor disagree
 - 4 Disagree
 - 5 Strongly disagree
- Don't know

BLOCK D Employment

Employment

{Ask all}

D1Emp

I would now like to ask you about your previous employment. Again, any answers given will be kept in the strictest confidence.

In the four weeks before coming into custody, were you in paid work? Please include self-employment and any temporary or part-time work. Do not include any casual/cash in hand work.

1. Yes
 2. No
- Don't know
Not stated/refused

{Ask if D1Emp=no}

D1Stat

SHOWCARD D1.

And thinking about the four weeks before you came into custody, which of these best describes how you **mainly** spent your time? CODE ONE ONLY

1. In full time education (school/college/university, including on holiday)
 2. Unemployed and looking for work or training
 3. Unemployed but not looking for work or training
 4. On a Government scheme for employment training
 5. Permanently unable to work because of long-term sickness or disability
 6. Retired
 7. Looking after home or family
 8. Doing something else (specify)
- Don't know
Not stated/refused

{Ask if D1Stat=other}

D1StatO

INTERVIEWER: Record how they **mainly** spent their time: OPEN

Don't know
Not stated/refused

{If D1Stat=2 or 3}

D1Sts

What, if anything, was stopping you from getting work/looking for work? DO NOT PROMPT.

PROBE: What else? MULTICODE OK

Personal

- I am too old
- I am too young
- Homelessness
- Addiction (drug/alcohol)
- Childcare is not available
- I have caring responsibilities (other than for children)
- Want to look after children
- Language difficulties
- Short-term illness, disability or infirmity

Discrimination

- Previous criminal record
- Sexual discrimination
- My ethnic origin/racial discrimination
- Because of my sexual preference
- Because of my religion

Skills/Training

- I do not have sufficient skills and experience
- I do not have the right skills and experience
- I do not have sufficient qualifications
- I do not have the right qualifications

Type of work available

- No jobs available
- No suitable jobs available

Financial

- I can't afford childcare
- Pay too low
- Loss of benefits

Information

- Too little information on what is available
- Lack of information/support for self-employment
- I can't get the right kind of help
- Jobs get filled by word of mouth/on the grapevine

Other

Problems with transport/lack of transport

Unable to move to find a (new) job

An address in this area makes it difficult for people to get job interviews

Lack of address make it difficult to get interview

Other (SPECIFY)

No reason

Don't know

{Ask if D1Emp = No}

D1EmpY

Did you have a paid job at any time during the 12 months before your came into custody? Please include self-employment and any temporary or part-time work. Do not include any casual/cash in hand work.

1. Yes

2. No

Not stated/refused

{Ask if D1EmpY=no or not stated/refused}

D1EmpE

Have you ever had a paid job? Please include self-employment and any temporary or part-time work. Do not include any casual/cash in hand work.

1. Yes

2. No

Not stated/refused

{Ask if D1EmpE=yes}

D1EmpLv

In which year did you leave your last paid job, again I remind you, this is completely confidential?: 1900..2005

Don't know

Not stated/refused

{Ask if D1Emp=yes or D1EmpY=yes or D1EmpE=yes}

D1JOrg

Thinking about the last job you had before you came into custody, what did the firm/organisation you worked for mainly make or do?: OPEN

Don't know

Not stated/refused

{Ask if D1Emp=yes or D1EmpY=yes or D1EmpE=yes}

D1JTitl

What was your job title?: OPEN

Don't know

Not stated/refused

{Ask if D1Emp=yes or D1EmpY=yes or D1EmpE=yes}

D1JWrk

What type of work did you do?: OPEN

Don't know

Not stated/refused

{Ask if D1Emp=yes or D1EmpY=yes or D1EmpE=yes}

D1JEmp

Were you employed or self-employed?:

1. Employed
 2. Self-employed
- Not stated/refused

{Ask if D1Emp=yes or D1EmpY=yes or D1EmpE=yes}

D1JFtPt

Did you work full-time or part-time?:

1. Full time
 2. Part time
- Not stated/refused

{Ask if D1Emp=yes or D1EmpY=yes or D1EmpE=yes}

D1JSup

Did you have formal responsibility for supervising the work of other employees?:

1. Yes
 2. No
- Not stated/refused

{Ask if D1Emp=yes or D1EmpY=yes or D1EmpE=yes}

D1JSiz

And about how many employees worked at the place where you worked?

1. 1 to 24
 2. 25-499
 3. 500 or more
- Don't know
Not stated/refused

{Ask if D1Emp=yes or D1EmpY=yes or D1EmpE=yes}

D1JPay

When you were last paid, what was your gross take home pay (before deductions for tax, NI, etc)? Again this information is completely confidential and will not be passed onto any government agency that could link this information to any individual.

Please enter pounds and pence using the decimal point for pence: 0.00..999997.00

- Don't know
Not stated/refused

{Ask if D1JPay>0}

D1JPer

What period did that cover?:

1. 1 day
2. 1 week
3. 2 weeks
4. 4 weeks
5. 1 month
6. 2 months
7. 3 months
8. 6 months
9. 1 year
10. One-off lump sum
11. Other (specify)

- Don't know
Not stated/refused

{Ask if D1JPer=11}

D1JOPer

INTERVIEWER: Record 'other' period.: STRING

Don't know

Not stated/refused

{Derived variable}

D1JWk

Computed: Weekly amt from D1Jpay/D1JPer

Don't know

Not stated/refused

{Ask if D1Emp=yes or D1EmpY=yes or D1EmpE=yes}

D1JHour

Can I just check were you paid an hourly rate?

1. Yes

2. No

Don't know

Not stated/refused

{Ask if D1JHour=yes}

D1JHrly

What was your basic hourly rate, before any deductions? : Enter pounds and pence using the decimal point for pence: 0.00..999997.00 Allow fractions

Don't know

Not stated/refused

{Ask if D1Emp= yes}

D1RetA

Do you expect to return to this job when you are released?

1. Yes

2. No

Don't know

Not stated/refused

{Ask if D1RetA=no}

D1RetB

Why not?

CODE ALL THAT APPLY

1. I resigned/left of my own accord

2. I was made redundant because there was not enough work

3. I was fired because I had a criminal record/failed to declare record

4. I was fired because I was sentenced to prison

5. I was fired for another reason

6. It was a temporary job

7. I've got another job/job offer to go to

8. I want to try a different job

9. I want to do a training/education course instead

10. Childcare unavailable/unable to find suitable childcare

11. Childcare too expensive

12. Retired

13. Poor health

14. Pregnancy

15. Pay inadequate

16. Hours unsuitable

17. Other reason

Don't know
Not stated/refused

{Ask all}

D1Cash

Had you done any casual or cash-in-hand work in the 12 months before coming into custody, again I would like to remind you that your answers are confidential and will not be passed on to any government agency that could identify you as an individual, or to anyone else at the prison?

1. Yes
 2. No
- Don't know
Not stated/refused

{Ask if D1Cash=yes}

D1Amnt

When you were last paid for this casual/cash-in hand work, how much did you get?

INTERVIEWER: Enter pounds and pence using the decimal point for pence: 0.00..999997.00

Don't know
Not stated/refused

{Ask if D1Amnt>0}

D1CPer

What period did that cover:

1. 1 day
2. 1 week
3. 2 weeks
4. 4 weeks
5. 1 month
6. 2 months
7. 3 months
8. 6 months
9. 1 year
10. One-off lump sum
11. Other (specify)

Don't know
Not stated/refused

{Ask if D1CPer=11}

D1CPerO

INTERVIEWER: Record 'other' period.: STRING

Don't know
Not stated/refused

{Derived variable}

D1CWk

Computed: weekly amt from A1Amnt/D1CPer

Don't know
Not stated/refused

{Ask if D1Cash=yes}

D1CshM

And, can I check, had you done any casual or cash-in-hand work in the four weeks before you came into custody [If necessary, “again this information is completely confidential and will not be passed onto any government agency that could link this information to any individual”]?:

- 1. Yes
- 2. No
- Don't know
- Not stated/refused

{Ask all}

D1Bens

Did you claim any benefits during the 12 months before you came into custody? [If necessary, “again this information is completely confidential and will not be passed onto any government agency that could link this information to any individual”]

- 1. Yes
- 2. No
- Not stated/refused

{Ask if D1Bens=yes}

D1Bens1-8

Which benefits did you claim?

[If necessary, “again this information is completely confidential and will not be passed onto any government agency that could link this information to any individual”]

CODE ALL THAT APPLY:

- 1. Job seekers allowance/unemployment benefit
- 2. Income support
- 3. Housing benefit
- 4. Council tax benefit
- 5. Sickness/incapacity benefit
- 6. Other

Don't know
 Not stated/refused

BLOCK E – SMOKING AND ALCOHOL

Smoking

{Ask all}

E1SStat

I'd like to ask you about smoking. Which of the following apply to you? READ OUT

- 1. I smoke every day
- 2. I smoke but not every day
- 3. I used to smoke but have given up
- 4. I have never smoked

Not stated/refused

{Ask if E1SStat=1 or 2}

E1Gves

Would you like to give up smoking altogether?

- 1. Yes
- 2. No
- Don't know
- Not stated/refused

{Ask if E1SStat=1 or 2}

E1Trds

Have you ever tried to stop smoking?

1. Yes
2. No

Not stated/refused

{Ask if E1SStat=1 or 2}

E1SCust

Would you say that you now smoke... READ OUT

1. More than before you came into custody
2. About the same as before you came into custody
3. Or fewer cigarettes than you did before you came into custody

Don't know

Not stated/refused

Alcohol

Thinking now about alcohol...

{Ask all}

E1Alc

Did you drink any alcohol in the 12 months before you came into custody, that is between <A1start less 12 months> and <A1start>?:

1. Yes
2. No

Don't drink

Not stated/refused

{Ask if E1Alc=yes}

E1AlcM

Did you drink any alcohol in the four weeks before you entered custody?:

1. Yes
2. No

Not stated/refused

{Ask if E1AlcM=yes}

E1FreA

SHOWCARD E1

In those four weeks, on how many days did you drink alcohol?: 1..28 USE SHOWCARD

Don't know

Not stated/refused

{Ask if E1AlcM=yes}

E1AmtAD

On the days when you did drink alcohol, on average how many units did you drink in a day?

By a unit I mean, ½ pint of beer, a glass of wine, or a single measure of spirit or liqueur.

INTERVIEWER NOTE: A bottle of wine = 6 units/6 glasses, alcopop = 1 unit.: 1..97

Don't know

Not stated/refused

{Ask if E1AlcM=yes}

E1AIDepA

SHOWCARD E2

Thinking about the four weeks before you came into custody, did you ever think that your drinking was out of control?

1. Never or almost never
2. Sometimes
3. Often
4. Always or nearly always

{Ask if E1AlcM=yes}

E1AIDepB

SHOWCARD E2

Still thinking about the four weeks before you came into custody, did the prospect of not drinking make you anxious or worried?

1. Never or almost never
2. Sometimes
3. Often
4. Always or nearly always

{Ask if E1AlcM=yes}

E1AIDepC

SHOWCARD E2

(Still thinking about the four weeks before you came into custody), did you ever worry about your drinking?

1. Never or almost never
2. Sometimes
3. Often
4. Always or nearly always

{Ask if E1AlcM=yes}

E1AIDepD

SHOWCARD E2

(Still thinking about the four weeks before you came into custody), did you ever wish that you could stop drinking?

1. Never or almost never
2. Sometimes
3. Often
4. Always or nearly always

{Ask if E1AlcM=yes}

E1AIDepE

SHOWCARD E3.

And during that time, how difficult would you have found it to stop drinking alcohol?

1. Easy
2. Quite difficult
3. Very difficult
4. Impossible

Alcohol treatment

{Ask All}

E1AlcTr

Can I just check, have you ever received treatment or counselling for an alcohol problem? (Textfill If B1Pris=yes: Please do not include any treatment or counselling which you have ever received while in prison.)

1. Yes
2. No

Not stated/refused

{Ask if E1AlcTr=yes}

E1AIYr

And did you receive any treatment or counselling for an alcohol problem during the 12 months before you came into custody? (Textfill If B1Pris=yes: Please do not include any treatment or counselling which you may have received while previously in prison.):

1. Yes
2. No

Not stated/refused

{Ask if E1AIYr=yes}

E1AITrA

SHOWCARD E4

What kind of treatment or counselling for an alcohol problem did you receive during this 12 month period?

CODE ALL THAT APPLY

1. Detox in a hospital
2. Detox as an outpatient or in your own home
3. Rehab programme
4. Attended AA (Alcoholics Anonymous) meetings
5. Other type of counselling service

Don't know

Not stated/refused

{Ask for each mentioned at E1AITrA}

E1Altro1-5

For each coded, ask 'for how many weeks were did you receive this treatment/counselling? 0..52

Don't know

Not stated/refused

BLOCK F – DRUGS**Drug use****Intro**

I'd now like to ask you about any drugs you may have taken in the past. Please remember that your answers will be treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.

{Ask all}

F1DrgEv

SHOWCARD F1.

Before entering custody, had you ever used any of these drugs? (code all that apply)

1. Amphetamines (also known as speed, whizz, upper, Billy)
2. Cannabis (also know as marijuana, grass, hash, ganja, blow, draw, skunk, weed, spliff)

3. Crack cocaine (also known as rock, stones, white)
 4. Cocaine (also known as Charlie, C)
 5. Ecstasy (also known as E, pills)
 6. Heroin (also known as smack, skag, H, Brown, horse)
 7. LSD (also known as tabs, trips) or magic mushrooms
 8. Unprescribed methadone
 9. Unprescribed tranquilizers (also known as diazepam, temazepam, 'downers', 'jellies', 'temmazies', 'tranks')
 10. None of these
- Don't know
Not stated/refused

{Ask if F1DrgEv=amphetamines}

F1AgeA

At what age did you first use amphetamines?:0..97 – '0' should not be in range

Don't know

Not stated/refused

{HARD CHECK: F1AgeA is not exceed current age A1Age}

{Ask if F1DrgEv=amphetamines}

F1YearA

Did you use amphetamines at any time during the 12 months before custody?:

1. Yes

2. No

Not stated/refused

{Ask if F1YearA=yes}

F1WkA

And did you use amphetamines at any time during the four weeks before you came into custody?:

1. Yes

2. No

Not stated/refused

{Ask if F1WkA=yes}

F1FreA

SHOWCARD F2

On how many days did you use amphetamines in the four weeks before you came into custody? 1..28

Don't know

Not stated/refused

{Ask if F1WkA=yes}

F1AmtUA

And, on days when you used amphetamines, how much did you usually take/use?

INTERVIEW: Code whether answer is in ounces or grammes.:

Ounces

Grammes

Don't know

Not stated/refused

{Ask if F1WkA=yes}

F1MethA

SHOWCARD F3

How did you normally take amphetamines?

ALLOW FOR COMBINATIONS

1. Swallow
 2. Snort/sniff
 3. Smoke/chase
 4. Inject
- Not stated/refused

{Ask if F1DrgEv=cannabis}

F1AgeB

At what age did you first use cannabis?:0..97

{HARD CHECK: F1AgeB is not exceed current age A1Age}

{Ask if F1DrgEv=cannabis}

F1YearB

Did you use cannabis at any time during the 12 months before custody?:

1. Yes
2. No

Not stated/refused

{Ask F1YearB=yes}

F1WkB

And did you use cannabis at any time during the four weeks before you came into custody?:

1. Yes
2. No

Not stated/refused

{Ask if F1WkB=yes}

F1FreB

On how many days did you use cannabis in the four weeks before you came into custody?

1..28 SHOWCARD F4

Don't know

Not stated/refused

{Ask if F1WkB=yes}

F1MethB

SHOWCARD F5

How did you normally take cannabis?

1. Swallow
2. Snort/sniff
3. Smoke/chase
4. Inject

Not stated/refused

{Ask if F1DrgEv=crack cocaine}

F1AgeD

At what age did you first use crack cocaine?:0..97 Range should not allow '0'

Don't know

Not stated/refused

{HARD CHECK: F1AgeD is not exceed current age A1Age}

{Ask if F1DrgEv=crack cocaine}

F1YearD

Did you use crack at any time during the 12 months before custody?:

1. Yes
2. No

Not stated/refused

{Ask if F1YearD=yes}

F1WkD

And did you use crack at any time during the four weeks before you came into custody?:

1. Yes
2. No

Not stated/refused

{Ask if F1WkD=yes}

F1FreD

On how many days did you use crack in the four weeks before you came into custody?: 1..28

Don't know

Not stated/refused

SHOWCARD F6

{Ask if F1AmtD>0}

F1AmtUD

And, on days when you used crack, how much did you usually take/use?

INTERVIEWER: Code whether answer is in ounces, grammes, rocks or £.:

Ounces

Grammes

Rocks

£

Don't know

Not stated/refused

{Ask if F1WkD=yes}

F1AmtD

(And, on days when you used crack, how much did you usually take/use?)

INTERVIEWER:: 0.00..9997.99.

Don't know

Not stated/refused

{Ask if F1WkD=yes}

F1MethD

SHOWCARD F7

How did you normally take crack?

ALLOW FOR COMBINATIONS

1. Swallow
2. Snort/sniff
3. Smoke/chase
4. Inject

Not stated/refused

{Ask if F1DrgEv=cocaine powder}

F1AgeC

At what age did you first use cocaine (powder)?:0..97

Don't know

Not stated/refused

{HARD CHECK: F1AgeC is not exceed current age A1Age}

{Ask if F1DrgEv=cocaine powder}

F1YearC

Did you use cocaine at any time during the 12 months before custody?:

1. Yes
 2. No
- Not stated/refused

{Ask if F1YearC=yes}

F1WkC

And did you use cocaine at any time during the four weeks before you came into custody?:

1. Yes
 2. No
- Not stated/refused

{Ask if F1WkC=yes}

F1FreC

On how many days did you use cocaine in the four weeks before you came into custody?:

- 1..28
Don't know
Not stated/refused
SHOWCARD F8

{Ask if F1WkC=yes}

F1AmtUC

And, on days when you used cocaine, how much did you usually take/use?

INTERVIEWER: Code whether answer is in ounces, grammes.or £:

- Ounces
Grammes
£
Don't know
Not stated/refused

{Ask if F1WkC=yes}

F1AmtC

(And, on days when you used cocaine, how much did you usually take/use?)

INTERVIEWER: Enter number of ounces or grammes. Enter half as 0.5.: 0.00..9997.

{Ask if F1WkC=yes}

F1MethC

SHOWCARD F9

How did you normally take cocaine?

ALLOW FOR COMBINATIONS

1. Swallow
 2. Snort/sniff
 3. Smoke/chase
 4. Inject
- Not stated/refused

Severity of Dependence Scale

Add Don't know and Not stated to all of the below

{Ask if F1yearC=yes or F1yearD=yes}

F1DepAD

SHOWCARD F10

Thinking about the year before you came into custody, did you ever think that your use of cocaine was out of control? (If F1yearC=yes and F1yearD=yes: Please think about your use of both crack cocaine and powder cocaine.)

1. Never or almost never
2. Sometimes
3. Often
4. Always or nearly always

{Ask if F1WkC=yes or F1WkD=yes}

F1DepBD

SHOWCARD F10

Still thinking about the same year, did the prospect of not taking cocaine make you anxious or worried?

1. Never or almost never
2. Sometimes
3. Often
4. Always or nearly always

{Ask if F1WkC=yes or F1WkD=yes}

F1DepCD

SHOWCARD F10

And still thinking about the same year, did you worry about your cocaine use?

1. Never or almost never
2. Sometimes
3. Often
4. Always or nearly always

{Ask if F1WkC=yes or F1WkD=yes}

F1DepDD

SHOWCARD F10

And still thinking about the same year, did you wish that you could stop using cocaine?

1. Never or almost never
2. Sometimes
3. Often
4. Always or nearly always

{Ask if F1WkC=yes or F1WkD=yes}

F1DepED

SHOWCARD F11.

And still thinking about the same year, how difficult would you have found it to stop using cocaine?

1. Easy
2. Quite difficult
3. Very difficult
4. Impossible

{Ask if F1DrgEv=pills}

F1AgeE

At what age did you first use ecstasy?:0..97

Don't know

Not stated/refused

{HARD CHECK: F1AgeE is not exceed current age A1Age}

{Ask if F1DrgEv=pills}

F1YearE

Did you use ecstasy at any time during the 12 months before custody?:

1. Yes
2. No
3. Not stated/refused

{Ask if F1YearE=yes}

F1WkE

And did you use ecstasy at any time during the four weeks before you came into custody?:

1. Yes
2. No
3. Not stated/refused

{Ask if F1WkE=yes}

F1FreE

On how many days did you use ecstasy in the four weeks before you came into custody?:1..28

Don't know

Not stated/refused

SHOWCARD F12

{Ask if F1WkE=yes}

F1MethE

SHOWCARD F13

How did you normally take ecstasy?

1. Swallow
2. Snort/sniff
3. Smoke/chase
4. Inject
5. Not stated/refused

{Ask if F1DrgEv=heroin}

F1AgeF

At what age did you first use heroin?:0..97 – Do not include '0' in the range

Don't know

Not stated/refused

{HARD CHECK: F1AgeF is not exceed current age A1Age}

{Ask if F1DrgEv=heroin}

F1YearF

Did you use heroin at any time during the 12 months before custody?:

1. Yes
 2. No
- Not stated/refused

{Ask if F1YearF=yes}

F1WkF

And did you use heroin at any time during the four weeks before you came into custody?:

1. Yes
 2. No
- Not stated/refused

{Ask if F1WkF=yes}

F1FreF

On how many days did you use heroin in the four weeks before you came into custody?:

1..28

Don't know

Not stated/refused

{Ask if F1AmtF>0}

F1AmtUD

SHOWCARD F14

And, on days when you used heroin, how much did you usually take/use?

INTERVIEWER: Code whether answer is in ounces, grammes, or £.:

Ounces

Grammes

£

Don't know

Not stated/refused

{Ask if F1WkF=yes}

F1AmtF

(And, on days when you used heroin, how much did you usually take/use?)

INTERVIEW: 0.00..9997.99

Don't know

Not stated/refused

{Ask if F1WkF=yes}

F1MethF

SHOWCARD F15

How did you normally take heroin?

ALLOW COMBINATIONS

1. Swallow

2. Snort/sniff

3. Smoke/chase

4. Inject

Not stated refused

Severity of Dependence Scale

{Ask if F1WkF=yes}

F1DepAF

SHOWCARD F16

Thinking about the year before you came into custody, did you ever think that your use of heroin was out of control?

1. Never or almost never

2. Sometimes

3. Often

4. Always or nearly always

Don't know

Not stated/refused

{Ask if F1WkF=yes}

F1DepBF

SHOWCARD F16

Still thinking about the same year, did the prospect of not taking heroin make you anxious or worried?

1. Never or almost never
 2. Sometimes
 3. Often
 4. Always or nearly always
- Don't know
Not stated/refused

{Ask if F1WkF=yes}

F1DepCF

SHOWCARD F16

And still thinking about the same year, did you worry about your heroin use?

1. Never or almost never
 2. Sometimes
 3. Often
 4. Always or nearly always
- Don't know
Not stated/refused

{Ask if F1WkF=yes}

F1DepDF

SHOWCARD F16.

And still thinking about the same year, did you wish that you could stop using heroin?

1. Never or almost never
 2. Sometimes
 3. Often
 4. Always or nearly always
- Don't know
Not stated/refused

{Ask if F1WkF=yes}

F1DepEF

SHOWCARD F17

And still thinking about the same year, how difficult would you have found it to stop using heroin?

1. Easy
 2. Quite difficult
 3. Very difficult
 4. Impossible
- Don't know
Not stated/refused

{Ask if F1DrgEv=heroin}

F1HPris – Filter – include only those who have been sentenced before

Have you ever used heroin in a prison? [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

1. Yes
 2. No
- Not stated/refused

{Ask if F1HerPris=yes}

F1HFir

Was the first time you ever used heroin in prison [READ OUT if necessary: Please remember that this information will not be passed to anyone else.]

1. Yes
 2. No
- Not stated/refused

{Ask if F1DrgEv=LSD}

F1AgeG

At what age did you first use LSD or magic mushrooms?:0..97

Don't know

Not stated/refused

{HARD CHECK: F1AgeG is not exceed current age A1Age}

{Ask if F1DrgEv=LSD}

F1YearG

Did you use LSD or magic mushrooms at any time during the 12 months before custody?:

1. Yes

2. No

Not stated/refused

{Ask if F1YearG=yes}

F1WkG

And did you use LSD or magic mushrooms at any time during the four weeks before you came into custody?:

1. Yes

2. No

Not stated/refused

{Ask if F1WkG=yes}

F1FreG

SHOWCARD F18

On how many days did you use LSD or magic mushrooms in the four weeks before you came into custody?:1..28

Don't know

Not stated/refused

{Ask if F1WkG=yes}

F1MethG

SHOWCARD F19

How did you normally take LSD or magic mushrooms?

1. Swallow

2. Snort/sniff

3. Smoke/chase

4. Inject

Not stated/refused

{Ask if F1DrgEv=unprescribed methadone}

F1AgeH

At what age did you first use unprescribed methadone?:0..97 – Do not include '0' in the range

Don't know

Not stated/refused

{HARD CHECK: F1AgeH is not exceed current age A1Age}

{Ask if F1DrgEv=unprescribed methadone}

F1YearH

Did you use unprescribed methadone at any time during the 12 months before custody?:

1. Yes
 2. No
- Not stated/refused

{Ask if F1YearH=yes}

F1WkH

And did you use unprescribed methadone at any time during the four weeks before you came into custody?:

1. Yes
 2. No
- Not stated/refused

{Ask if F1WkH=yes}

F1FreH

SHOWCARD F20

On how many days did you use unprescribed methadone in the four weeks before you came into custody?:1..28

- Don't know
Not stated/refused

{Ask if F1WkH=yes}

F1MethH

SHOWCARD F21

How did you normally take unprescribed methadone?

1. Swallow
2. Snort/sniff
3. Smoke/chase
4. Inject
5. Not stated/refused

{Ask if F1DrgEv= unprescribed tranquilizers}

F1AgeJ

At what age did you first use unprescribed tranquilizers (i.e. diazepam, temazepam, 'downers', 'jellies', 'temmazies', 'tranks' etc.)?:0..97 Do not include '0' in the range

- Don't know
Not stated/refused
{HARD CHECK: F1AgeJ is not exceed current age A1Age}

{Ask if F1DrgEv= unprescribed tranquilizers}

F1YearJ

Did you use unprescribed tranquilizers at any time during the 12 months before custody?:

1. Yes
 2. No
- Not stated/refused

{Ask if F1YearJ=yes}

F1Tranq

Please specify which tranquilizers: STRING

- Don't know
Not stated/refused

{Ask if F1YearJ=yes}

F1WkJ

And did you use unprescribed tranquilizers at any time during the four weeks before you came into custody?:

1. Yes
 2. No
- Not stated/refused

{Ask if F1WkJ=yes}

F1FreJ

SHOWCARD F22

On how many days did you use unprescribed tranquilizers in the four weeks before you came into custody?

Don't know

Not stated/refused

{Ask if F1WkJ=yes}

F1MethJ

SHOWCARD F23

How did you normally take unprescribed tranquilizers?

1. Swallow
2. Snort/sniff
3. Smoke/chase
4. Inject

Not stated/refused

{Ask if F1WkA=yes or F1WkB=yes or F1WkC=yes or F1WkD=yes or F1WkE=yes or F1WkF=yes or F1WkG=yes or F1WkH=yes or F1WkJ=yes }

F1Inj

Can I just check, did you inject any illegal drugs during the four weeks before you came into custody?

1. Yes
2. No

Not stated/refused

{Ask if F1Inj=yes}

F1InjN

Still thinking about those four weeks, on how many days did you inject drugs?:1..28

And how many times did you inject on a typical day?:1..97

Don't know

Not stated/refused

{Ask if F1Inj=yes}

F1InjUs

SHOWCARD F25

How many times did you inject with a needle already used by someone else?:

1. Never
2. Once
3. 2 to 4 times
4. 5 to 9 times
5. 10 times or more

Not stated/refused

{Ask if F1Inj=no}

F1InJuas

Have you ever injected drugs? – DO TO **NOT** ASK THOSE WHO SAID YES TO INJECT AT F1MethA, B, C, D, E, F, G, H, I, J

1. Yes

2. No

Not stated/refused

{Ask if F1Inj=yes or F1InJuas=yes}

F1InJuso

How old were you when you first injected a drug? 1..97

Don't know

Not stated/refused

{Ask if F1Inj=yes or F1InJuas=yes}

F1InJucs

Have you ever injected drugs inside a prison[READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

1. Yes

2. No

Not stated/refused

{Ask if F1WkA=yes, F1WkB=yes, F1WkC=yes, F1WkD=yes, F1WkE=yes, F1WkF=yes, F1WkG=yes, F1WkH=yes, F1WkJ=yes}

F1InJuds

Have you ever overdosed on drugs [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]?

1. Yes

2. No

Not stated/refused

{Ask if F1IndJuds=yes}

F1InJues

How many times?

1. Once

2. 2 or 3 times

3. 4 or 5 times

4. 6-9 times

5. 10 or more times

Not stated/refused

{Ask if F1IndJuds=yes}

F1InJufs

In your opinion, could giving out ampoules ('amps') of Naloxone (the antidote to heroin overdose) at needle exchanges save lives?

1. Yes

2. No

Don't know

Not stated/refused

Drug treatment

{Ask if F1DrgEv<>none or don't know or not stated/refused}

F1DrgTr

Can I just check, have you ever received treatment or counselling for a drug problem?

(Textfill: If B1PrDrg<>Yes and B1Pris=yes: Please do not include any treatment or counselling which you have ever received while in prison.

IF B1PrDrg=Yes and B1Prob=Yes: Please do not include any treatment or counselling which you have ever received as part of a community sentence or probation supervision.

IF B1PrDrg=Yes and B1Pris=Yes and B1Prob=Yes: Please do not include any treatment or counselling which you have ever received while in prison or as part of a community sentence or probation supervision.

1. Yes

2. No

Not stated/refused

{Ask if F1DrgTr=yes}

F1DrgYr

And did you receive any treatment or counselling for a drug problem during the 12 months before you came into custody?

(Textfill

If B1PrDrg<>Yes and B1Pris=yes: Please do not include any treatment or counselling which you may have received while previously in prison.

IF B1PrDrg=Yes and B1Prob=Yes: Please do not include any treatment or counselling which you may have received as part of a community sentence or probation supervision.

IF B1PrDrg=Yes and B1Pris=Yes and B1Prob=Yes: Please do not include any treatment or counselling which you may have received while previously in prison or as part of a community sentence or probation supervision.):

1. Yes

2. No

Not stated/refused

{Ask if F1DrgYr=yes}

F1DrTrA

SHOWCARD F26

What kind of treatment or counselling for a drug problem did you receive during this 12 month period?

CODE ALL THAT APPLY

1. Detox as an inpatient in a hospital

2. Residential rehab programme

3. Group counselling (NOT part of a rehab programme)

4. Individual/one-to-one counselling

5. Prescribed methadone or other substitute drug (e.g. Subutex)

6. Another type of counselling service

Don't know

Not stated/refused

{Ask for each mentioned at F1DrTrA}

F1DrgyO1-6

For each coded, ask 'for how many weeks were did you receive this treatment/counselling?

– this has been left out 0..52

Don't know

Not stated/refused

{Ask if F1DrgYr=yes}

F1DTTrM

Can I just check, did you receive any drug treatment during the four weeks before coming into custody?

1. Yes
 2. No
- Not stated/refused

BLOCK G: HEALTH

Healthcare

{Ask all}

G1GPReg

I'd now like to ask you about any healthcare that you may have received in the 12 months before entering custody, that is between <month year> and <month year>. [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

First can I check, were you registered with a GP/family doctor before you entered custody

1. Yes
 2. No
- Don't know
Not stated/refused

{Ask all}

G1Care

And thinking about the 12 months before you came into custody, did you receive treatment or counselling for any health or medical problems? Please include treatment or counselling received for physical health problems and mental health or emotional problems. (Textfill if E1AIYr=yes or F1DrgYr=yes: Please do not include any help related to alcohol or drug treatment):

1. Yes
 2. No
- Don't know
Not stated/refused

{Ask if G1Care=yes}

G1MenYr

Was this treatment or counselling for...READ OUT...

CODE ALL THAT APPLY

1. Physical health problems
 2. Mental health or emotional problems
 3. Or both
- Don't know
Not stated/refused

{Ask if G1Care=yes and G1GPReg=yes}

G1VisGP

SHOWCARD G1

Thinking about this 12 month period, how many times did you visit a GP/family doctor for health or medical problems? Please include visits made for physical health problems and mental health or emotional problems. (Textfill if E1AIYr=yes or F1DrgYr=yes: Do not include any alcohol/drug related visits).

1. Never
2. Once or twice
3. 3-6 times

4. 7-12 times
 5. More than 12 times
- Don't know
Not stated/refused

{Ask if G1MenYr=both and G1VisGP<>never or don't know}

G1VisY

And did you visit your GP/family doctor for...READ OUT...

CODE ALL THAT APPLY

1. Physical health problems
2. Mental health or emotional problems
3. Or both

Don't know

Not stated/refused

{Ask if G1Care=yes }

G1VisAE

SHOWCARD G1

And how many times in this period, if any, did you go to Accident and Emergency?

1. Never
2. Once or twice
3. 3-6 times
4. 7-12 times
5. More than 12 times

Don't know

Not stated/refused

{Ask if G1MenYr=both and G1VisAe<>never or don't know}

G1AEY

And did you go to Accident and Emergency for...READ OUT...

CODE ALL THAT APPLY

1. Physical health problems
2. Mental health or emotional problems
3. Or both

Don't know

Not stated/refused

{Ask if G1Care=yes}

G1VisHsp

SHOWCARD G1

Still thinking about this 12 month period, how many times, if any, were you admitted to hospital as an in-patient, where you stayed overnight? (Textfill if E1AIYr=yes or F1DrgYr=yes: Please do not include any alcohol/drug related visits).

1. Never
2. Once or twice
3. 3-6 times
4. 7-12 times
5. More than 12 times

Don't know

Not stated/refused

{Ask if G1MenYr=both and G1VisHsp<>never or don't know}

G1HspY

And were you admitted to hospital for...READ OUT...

CODE ALL THAT APPLY

1. Physical health problems
 2. Mental health or emotional problems
 3. Or both
- Don't know
Not stated/refused

{Ask if G1Care=yes }

G1OutHsp

SHOWCARD G1

And apart from any A&E visits, how many times did you visit hospital as an out-patient (where you didn't stay over night) during this 12 month period? (Textfill if E1AIYr=yes or F1DrgYr=yes: Please do not include any alcohol/drug related visits).

1. Never
 2. Once or twice
 3. 3-6 times
 4. 7-12 times
 5. More than 12 times
- Don't know
Not stated/refused

{Ask if G1MenY=both or G1OutHsp<>never or don't know}

G1OutY

And did you visit hospital as an out-patient for...READ OUT...

CODE ALL THAT APPLY

1. Physical health problems
 2. Mental health or emotional problems
 3. Or both
- Don't know
Not stated/refused

{Ask if G1MenYr=2 or 3}

G1MenMd

And were you prescribed any medications for a mental health or emotional problem in the 12 months before custody? [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

1. Yes
 2. No
- Don't know
Not stated/refused

{Ask if G1MenMd=yes}

G1MdTyp

Please can you tell me the type and name of the medication you were prescribed.: OPEN

{Ask if G1MenMd=yes}

G1Med

Were you still being prescribed this medication at the point you entered custody [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]?

1. Yes
 2. No
- Not stated/refused

{Ask if G1MenMed=yes}

G1MedSt

Are you still being prescribed this now?

1. Yes
2. No

Not stated/refused

{Ask all}

G1GenH

SHOWCARD G2.

I'd now like to ask you some questions about your health right now. [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

How is your physical health in general?:

1. Very good
2. Good
3. Fair
4. Bad
5. Very bad

Don't know

Not stated/refused

{Ask all}

G1Hlth

Can I check, did you have any longstanding illness, disability, or infirmity of any kind just before you came into custody? By longstanding I mean anything that has troubled you over a period of time or that is likely to affect you over a period of time. [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

1. Yes
2. No

Not stated/refused

{Ask if G1Health=yes}

G1HTyp

SHOWCARD G3.

What kind of illness or disability do you have?

CODE ALL THAT APPLY

1. Problem with arms, legs, hands, feet, back or neck (including arthritis or rheumatism),
2. Difficulty in seeing,
3. Difficulty in hearing,
4. Skin conditions, allergies,
5. Chest, breathing problem, asthma, bronchitis,
6. Heart, blood pressure or blood circulation problems,
7. Stomach, liver, kidney or digestive problems,
8. Diabetes,
9. Depression, bad nerves,
10. Mental illness or suffer from phobia, panics or other nervous disorders,
11. Learning difficulties
12. Epilepsy,
13. Other health problems or disabilities – write in

Not stated/refused

Psychosis screening questionnaire

Now I would like to ask you about your thoughts and feelings you may have had over 12 months before you came into custody, that is from <month year> to <month year>.

{Ask all}

PSQ1

During the 12 months before you came into custody, have there been times when you felt very happy indeed without a break for days on end?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask if PSQ1=yes}

PSQ1a

Was there a reason for this?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask if PSQ1a=no}

PSQ1b

Did people around you think it was strange or complain about it?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask all}

PSQ2

During the 12 months before you came into custody, have you ever felt that your thoughts were directly interfered with or controlled by some outside force or person?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask if PSQ2=yes}

PSQ2a

Did this come about in a way that many people would find hard to believe, for instance, through telepathy?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask all}

PSQ3

During the 12 months before you came into custody, have there been times when you felt that people were against you?

1. Yes

2. Unsure
 3. No
- Not stated/refused

{Ask if PSQ3=yes}

PSQ3a

Have there been times when you felt that people were deliberately acting to harm you or your interests?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask if PSQ3a=yes}

PSQ3b

Have there been times you felt that a group of people were plotting to cause you serious harm or injury?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask all}

PSQ4

During the 12 months before you came into custody, have there been times when you felt that something strange was going on?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask if PSQ4=yes}

PSQ4a

Did you feel it was so strange that other people would find it hard to believe?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask all}

PSQ5

During the 12 months before you came into custody, have there been times when you heard or saw things that other people couldn't?

1. Yes
 2. Unsure
 3. No
- Not stated/refused

{Ask if PSQ5=yes}

PSQ5a

Did you at any time hear voices saying quite a few words or sentences when there was no one around that might account for it?

1. Yes
2. Unsure
3. No

Not stated/refused

{Ask all}

BGQ1

The next few questions are about how you feel **most of the time** and in **most situations**.

In general, do you have difficulty making and keeping friends?

1. Yes
2. No

{Ask all}

BGQ2

Would you normally describe yourself as a loner?

1. Yes
2. No

{Ask all}

BGQ3

In general, do you trust other people?.

1. Yes
2. No

{Ask all}

BGQ4

Do you normally lose your temper easily?

1. Yes
2. No

{Ask all}

BGQ5

Are you normally an impulsive sort of person?

1. Yes
2. No

{Ask all}

BGQ6

Are you normally a worrier?

1. Yes
2. No

{Ask all}

BGQ7

In general, do you depend on others a lot?

1. Yes
2. No

{Ask all}

BGQ8

In general, are you a perfectionist?

1. Yes
2. No

Anxiety and depression

{Ask all}

AnxD1

SHOWCARD G4

Thinking now about the **four weeks** before you came into custody, how often were you distressed or bothered by the following problems:

Feeling tense

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

{Ask all}

AnxD2

Suddenly scared for no reason

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

{Ask all}

AnxD3

Feeling fearful

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

{Ask all}

AnxD4

Nervousness or shakiness inside

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

{Ask all}

AnxD5

Spells of terror or panic

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

{Ask all}

AnxD6

Feeling hopeless about the future

1. Never
2. Rarely
3. Sometimes

4. Often
5. Always

{Ask all}

AnxD7

Feelings of worthlessness

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

{Ask all}

AnxD8

Feeling no interest in things

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

{Ask all}

AnxD9

Feeling lonely

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

{Ask all}

AnxD10

Thoughts of ending your life

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

Self-harm

{Ask all}

SelfH1

Have you ever made an attempt to take your life, by taking an overdose of tablets or some other way?

1. Yes
2. No

{Ask if SelfH1=yes}

SelfH2

Was this READ OUT

1. In the 4 weeks before coming into custody
2. In the 12 months before coming into custody

3. Or at some other time

{Ask all}

SelfH3

Have you ever deliberately harmed yourself in any way, but not with the intention of killing yourself?

1. Yes
 2. No
-

{Ask if SelfH3=yes}

SelfH4

Was this READ OUT

1. In the 4 weeks before coming into custody
2. In the 12 months before coming into custody
3. Or at some other time

{Ask if SelfH3=yes}

SHAc

SHOWCARD G5

Did you (code all that apply)

1. Cut yourself
2. Burn yourself
3. Swallow any objects (not pills)
4. Or harm yourself in some other way

BLOCK H OFFENDING

The next section is about crimes that you may have committed, that is from <month year> to <month year>. We **do not** want to know the details of any specific offences, just what types of offence you may have committed and how frequently you committed them. [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

{Ask all}

HQ1

During the 12 months before you came into custody, have you stolen or driven a vehicle without permission?

1. Yes
 2. No
- Not stated/refused

{Ask if HQ1=yes}

HQ1a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know
Not stated/refused

{Ask all}

HQ2

Apart from anything else you have already told us about, in the 12 months before you came into custody have you stolen anything from inside or outside a vehicle such as parts or personal possessions?

1. Yes
2. No

Not stated/refused

{Ask if HQ2=yes}

HQ2a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ3

In the 12 months before you came into custody, did you get into someone's home without their permission because you wanted to steal or damage something?

1. Yes
2. No

Not stated/refused

{Ask if HQ3=yes}

HQ3a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ4

In the 12 months before you came into custody (that is from <month year> to <month year>), have you gone into other types of buildings, such as a factory, office, shop, hospital, school, garage etc., without permission because you wanted to steal or damage something?

1. Yes
2. No

Not stated/refused

{Ask if HQ4=yes}

HQ4a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ5

Apart from anything else you have already mentioned, in the 12 months before custody, have you damaged anything that didn't belong to you on purpose, for example, by burning, smashing or breaking it?

1. Yes
2. No

Not stated/refused

{Ask if HQ5=yes}

HQ5a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ6

In the 12 months before you came into custody, have you used force, violence or threats against anyone in order to steal from a shop, petrol station, bank or any other business?

1. Yes

2. No

Not stated/refused

{Ask if HQ6=yes}

HQ6a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ7

And apart from anything else you have already mentioned, in the 12 months before you came into custody, have you used force, violence or threats against anyone in order to steal something from them?

1. Yes

2. No

Not stated/refused

{Ask if HQ7=yes}

HQ7a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ8

In the 12 months before you came into custody (that is from <month year> to <month year>), have you stolen anything someone was carrying or wearing (for example by taking something from their hand, pocket or bag), WITHOUT using force?

1. Yes

2. No

Not stated/refused

{Ask if HQ8=yes}

HQ8a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ9

Apart from anything you have already mentioned, in the 12 months before you came into custody, have you stolen anything from a shop WITHOUT using force, violence or threats?

1. Yes
2. No

Not stated/refused

{Ask if HQ9=yes}

HQ9a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ10

In the 12 months before you came into custody, have you used a cheque book, credit card, store card or cash point card belonging to someone else without their permission?

1. Yes
2. No

Not stated/refused

{Ask if HQ10=yes}

HQ10a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ11

In the 12 months before you came into custody, have you falsely claimed social security benefits, housing benefits or tax credits that you were NOT entitled to? I don't need to know the details, just whether you did or did not.

[READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

1. Yes
2. No

Not stated/refused

{Ask if HQ11=yes}

HQ11a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ12

In the 12 months before you came into custody, have you bought or sold anything you knew or thought had been stolen?

1. Yes
2. No

Not stated/refused

{Ask if HQ12=yes}

HQ12a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ13

The next few questions are about incidents that **did not** involve stealing or trying to steal. For these next questions, please include your family and people you know, as well as strangers.

In the 12 months before you came into custody, did you use force or violence on anyone on purpose (for example, by scratching, hitting, kicking or throwing things)?

1. Yes

2. No

Not stated/refused

{Ask if HQ13=yes}

HQ13a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

{Ask all}

HQ14

In the 12 months before you came into custody, have you sold drugs to anyone, including friends?

1. Yes

2. No

Not stated/refused

{Ask if HQ14=yes}

HQ14a

And how many times, if any, did you do this in the four weeks before you came into custody?: 0..997

Don't know

Not stated/refused

Drugs and crime links

{Ask if F1DrgEv<>none of these or don't know or not stated/refused and any HQ1-14=yes}

H1ConnA

Thinking of the offence(s) that you committed in the 12 months before you came into custody, had you taken any illegal drugs when you committed this/any of them? [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

1. Yes

2. No

Not stated/refused

{Ask if F1DrgEv<>none of these or don't know or not stated/refused and any HQ1-14=yes }

H1ConnB

Did you commit this offence/any of these offences in order to get money to buy drugs?

1. Yes
2. No

Not stated/refused

{Ask if F1DrgEv<>none of these or don't know or not stated/refused and any HQ1-14=yes }

H1ConnC

Did you commit this offence/any of these offences in order to obtain drugs directly?

1. Yes
2. No

Not stated/refused

{Ask if F1DrgEv<>none of these or don't know or not stated/refused and any HQ1-14=yes }

H1ConnD

SHOWCARD H1.

How much would you say that your offending was connected with your drug use?

1. Not at all
2. A little bit
3. A lot
4. Always

Don't know

Not stated/refused

{Ask if F1DrgEv<>none of these or don't know or not stated/refused and any HQ1-14=yes }

H1ConnE

Did you commit this offence/any of these offences in order to support the drug use of someone else?

1. Yes
2. No

Not stated/refused

{if drank alcohol in 12 months before custody and any HQ1-14=yes }

Thinking again of the offence(s) that you committed in the 12 months before you came into custody, had you drank any alcohol when you committed this/any of them?

1. Yes
2. No

Don't know

Not stated/refused

{if drank alcohol in 12 months before custody and any HQ1-14=yes }

How much would you say that your offending was connected with your alcohol use?

1. Not at all
2. A little bit
3. A lot
4. Always

Don't know

Not stated/refused

{Ask all}

H1Arrst

Still thinking about the 12 months before custody, that is from <month year> to <month year>, were you ever arrested?

1. Yes
 2. No
- Not stated/refused

{Ask if H1Arrst=yes}

H1ArrN

How many times were you arrested?: 1..997

- Don't know
Not stated/refused

{Ask if H1Arrst=yes}

H1ArrWy

SHOWCARD H2

What were you arrested for during the 12 months before you came into custody?

CODE ALL THAT APPLY

1. Stealing or driving away a vehicle without permission
2. Stealing something FROM a vehicle
3. Going into someone's home to steal or damage something
4. Going into a building (NOT someone's home) to steal or damage something
5. Damaging something that didn't belong to you on purpose
6. Using force violence or threats in order to steal from a shop, petrol station , bank or any other business
7. Using force, violence or threats to steal from someone
8. Stealing something a person was carrying or wearing (without using force)
9. Stealing something from a shop without using threats or violence
10. Using a cheque book, credit card or cash card that didn't belong to you
11. Falsely claiming benefits
12. Handling stolen goods
13. Assault/using force on someone (but not to steal something)
14. Selling drugs
15. Other (Specify?)
16. Not stated/refused

{Ask if H1Arrst=yes}

H1RefWrk

And when you were arrested, did you have any contact with an arrest referral worker?

1. Yes
 2. No
- Don't know
Not stated/refused

BLOCK I – FAMILY AND OTHER BACKGROUND

Family background

{Ask all}

I1Fam

I'd now like to ask you a few questions about your childhood and family.

When you were a child, that is up to the age of 17, who did you live with?

CODE ALL THAT APPLY

1. Both natural parents
2. One natural parent
3. One step-parent and one natural parent

4. A step-parent only
 5. Adopted parents
 6. Grandparent(s)
 7. Other relatives
 8. Foster parents
 9. In an institution (such as a childrens home, borstal or young offenders unit)
 10. Other – write in
- Not stated/refused

{Ask if I1Fam more than 1 response}

I1Most

Who did you live with most of the time?

1. Both natural parents
2. One natural parent
3. One step-parent and one natural parent
4. A step-parent only
5. Adopted parents
6. Grandparent(s)
7. Other relatives
8. Foster parents
9. In an institution (such as a childrens home, borstal or young offenders unit)
10. Other – written in

Not stated/refused

{Only allow those codes selected in I1Fam}

{Ask All}

I1Abus

As a child, did you experience any emotional, physical or sexual abuse? [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

1. Yes
2. No

Not stated/refused

{Ask if I1Abus=yes}

I1AbTy

Was this abuse...READ OUT...

CODE ALL THAT APPLY:

1. ...emotional
2. ...physical
3. ...or, sexual?

Not stated/refused

{Ask All}

I1Viol

As a child, did you ever observe violence in your home (for example between parents or carers)?

1. Yes
2. No

Not stated/refused

{Ask if I1Fam<>8 and <>9}

I1Care

(Can I just check) as a child, that is up to the age of 17, were you ever taken into care (such as a children's home or foster care)?:

1. Yes
2. No

Not stated/refused

{Ask if I1Care=yes or I1Fam=8 or I1Fam=9}

I1CarU

How long did you spend in care (including foster care)?

INTERVIEWER: Code whether answer given in weeks, months or years:

Weeks

Months

Years

Don't know

Not stated/refused

{Ask if I1Care=yes or I1Fam=8 or I1Fam=9}

I1CarN

(How long did you spend in care (including foster care)?)

INTERVIEWER: Enter number of weeks, months or years.:1..997

Don't know

Not stated/refused

{Ask if I1Care=yes or I1Fam=8 or I1Fam=9}

I1CarWhy

May I ask why you were taken into care?

CODE ALL THAT APPLY

1. Parents deceased
2. Parents absent
3. Criminal offence
4. Family problems
5. Truancy
6. Parents couldn't control me
7. Physical/sexual abuse
8. Other

Don't know

Not stated/refused

{Ask all}

I1FOff

SHOWCARD I1.

I am now going to ask a few questions about your family. Please think about all the people on this card when you are answering. Has anyone in your family ever been found guilty of a non-motoring criminal offence, that is people other than yourself?

1. Yes
2. No
3. No family

Not stated/refused

{As if I1FOff=yes}

I1FWho

Thinking about the person or people who have been found guilty of committing a crime, what is their relationship to you?

CODE ALL THAT APPLY

1. Husband/wife/partner
 2. Mother/step-mother
 3. Father/step-father
 4. Sister/step-sister
 5. Brother/step-brother
 6. Adult sons/daughters
 7. Sons/daughters (aged under 18)
 8. Other adult relatives
 9. Other relatives aged under 18
- Not stated/refused

{Ask if I1FOff=yes}

I1FPris

Has anyone in your family, other than yourself, been in prison (or Young Offenders Institution or borstal)?

1. Yes
2. No

Not stated/refused

{Ask if I1FPris=yes}

I1FPWh

Thinking about the person or people who have been in prison, what is their relationship to you?

CODE ALL THAT APPLY

1. Husband/wife/partner
2. Mother/step-mother
3. Father/step-father
4. Sister/step-sister
5. Brother/step-brother
6. Adult sons/daughters
7. Sons/daughters (aged under 18)
8. Other adult relatives
9. Other relatives aged under 18

Not stated/refused

{Ask if I1FOff<> no family}

I1FAIc

Does anyone in your family currently have an alcohol problem?:

1. Yes
2. No

Not stated/refused

{Ask if I1FAIc=yes}

I1FAWh

Thinking about to the person or people who have an alcohol problem, what is their relationship to you?

CODE ALL THAT APPLY

1. Husband/wife/partner
2. Mother/step-mother
3. Father/step-father
4. Sister/step-sister
5. Brother/step-brother
6. Adult sons/daughters
7. Sons/daughters (aged under 18)
8. Other adult relatives

9. Other relatives aged under 18
Not stated/refused

{Ask if I1FOff<> no family}

I1FDrg

Does anyone in your family currently have a drug problem?:

1. Yes
2. No

Not stated/refused

{Ask if I1FDrg=yes}

I1FDWh

Thinking about the person or people who have a drug problem, what is their relationship to you?

CODE ALL THAT APPLY

1. Husband/wife/partner
2. Mother/step-mother
3. Father/step-father
4. Sister/step-sister
5. Brother/step-brother
6. Adult sons/daughters
7. Sons/daughters (aged under 18)
8. Other adult relatives
9. Other relatives aged under 18

Not stated/refused

Education

{Ask all}

I1Trua

I'd now like to ask you about your time in education.

When you were at school, did you regularly play truant or skip school without permission?

1. Yes
2. No

Not stated/refused

{Ask all}

I1Susp

Were you ever suspended, or temporarily excluded, from school?:

1. Yes
2. No

Not stated/refused

{Ask all}

I1Exp

Were you ever expelled, or permanently excluded, from school?:

1. Yes
2. No

Not stated/refused

{Ask all}

I1Ed

At what age did you finish your continuous, full-time education at school or college?

1. 14
 2. 15
 3. 16
 4. 17
 5. 18
 6. 19 and over
 7. Still in FT education before custody
- Not stated/refused

{Ask all}

I1Qual

Can I just check do you have any qualifications?

1. Yes
 2. No
- Not stated/refused

{Ask if I1Qual=yes}

I1Hqua

SHOWCARD I2.

Starting from the top, please look down this list of qualifications and tell me the number of the first one you come to that you have passed

1. Higher degree/post graduate qualifications
 2. First degree, (including Bed)
 - Postgraduate Diplomas/ Certificates (include PGCE)
 - Professional qualifications at Degree level (eg chartered Accountant/surveyor)
 - NVQ/SVQ Level 4 or 5
 3. Diplomas in higher education/ other HE qualification
 - HNC/ HND/ BTEC higher
 - Teaching qualifications for schools/ further education (below degree level)
 - Nursing/ other medical qualifications (below degree level)
 - RSA Higher Diploma
 4. A/AS levels/ SCE higher/ Scottish Certificate 6 Year Studies
 - NVQ/ SVQ/ GSVQ level 3/ GNVQ Advanced
 - ONC/ OND/ BTEC National
 - City and Guilds Advanced Craft/ Final level/ Part III
 - RSA Advanced Diploma
 5. Trade Apprenticeships
 6. 0 level/ GCSE Grades A* SCE Standard! Ordinary Grades 1-3/ CSE Grade 1
 - NVQ/SVQ/ GSVQ level 2/ GNVQ intermediate
 - BTEC/ SCOTVEC first/ General diploma
 - City and Guilds Craft/ Ordinary level/ Part II/ RSA Diploma
 7. 0 level/GCSE grade D-G/ SCE Standard/Ordinary grades below 3
 - CSE grade 2-5
 - NVQ/SVQ/ GSVQ level 1 / GNVQ foundation
 - BTEC/ SCOTVEC first/ General certificate
 - City and Guilds Part I/ RSA Stage I-III
 - SCOTVEC modules/ Junior Certificate
 8. Other qualifications including overseas
- Not stated/refused

{Ask all}

I1App

Have you completed a trade apprenticeship?:

1. Yes
 2. No
- Not stated/refused

{Ask if I1App=yes}

I1AppWh

In what trade was your apprenticeship? STRING

- Don't know
Not stated/refused

Basic skills

{Ask all}

I1Engl

Can I just check, is English your first language?

1. Yes
 2. No
- Not stated/refused

{If I1Engl=no}

I1Lang

What is your first language? : STRING

- Don't know
Not stated/refused

{Ask all}

I1LangA

SHOWCARD I3

How good are you at speaking English when you need to in daily life, for example to have a conversation on the telephone or talk to a professional such as a teacher or doctor?

1. Very good
2. Fairly good
3. Below average
4. Poor
5. (Do not prompt) No opinion

{Ask all}

I1LangB

SHOWCARD I3

Overall, how good are you at *reading* English when you need to in daily life? For example: reading newspapers and magazines or instructions for medicine or recipes?

1. Very good
 2. Fairly good
 3. Below average
 4. Poor
 5. Cannot read English
 6. (Do not prompt) No opinion
- Don't know

{Ask all}

I1LangC

SHOWCARD I3

And how good are you at writing in English when you need to in daily life? For example: writing letters or notes or filling in official forms?

1. Very good

2. Fairly good
 3. Below average
 4. Poor
 5. Cannot write English
 6. (Do not prompt) No opinion
- Don't know

{Ask all}

I1LangD

SHOWCARD I3

And how good are you at working with numbers when you need to in every day life? For example: working out your wages or benefits, or checking bills or statements?

1. Very good
 2. Fairly good
 3. Below average
 4. Poor
 5. (Do not prompt) No opinion
- Don't know

Learner questionnaire

{Ask all}

Learn1

SHOWCARD I4

I am now going to read out some statements about doing everyday tasks, and I would like you to tell me how confident you feel about doing them.

When I think about speaking in front of a group of people, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn2

When I need to use a telephone, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn3

If I think about myself as a learner, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn4

When I have to do some reading, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn5

When I have to do some writing, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn6

When I have to fill in a form, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn7

If I have to read something out loud, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn8

If I have to read a set of instructions, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn9

If I have to take a test, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn10

If I think about going on an education or training course, I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

Learn11

When I think about using a computer I feel...

1. Not at all confident
2. Not very confident
3. Quite confident
4. Very confident
5. (Do not prompt) Don't know

{Ask all}

LFreq

I am now going to read out a number of different activities and I want you to tell me how often you used to do each one in your everyday life outside of prison? READ OUT

- Read books, magazines or newspapers in English
- Check bills or bank statements
- Send text messages from a mobile phone
- Send emails
- Set the video to record a television programme
- Do any kind of writing (in English) on paper
- Using a computer (other than sending emails)

For each:

1. Every day or most days
 2. About once a week
 3. About once a month
 4. Several times a year
 5. Never
 6. Don't have equipment (only code if relevant)
- Don't know

{Ask all}

LASta1

I am now going to read out some statements about people's attitudes towards learning, and I would like you to tell me to what extent you agree or disagree with each.

{Ask all}

LASta2

You need qualifications to get anywhere these days
SHOWCARD I5.

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. (Do not prompt) Don't know

{Ask all}

LASta3

Employers seldom take notice of the learning, education or training you have done

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. (Do not prompt) Don't know

{Ask all}

LASta3

Learning about new things is enjoyable

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. (Do not prompt) Don't know

{Ask all}

LASta4

You're more likely to get a better job if you have done some learning, education or training

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. (Do not prompt) Don't know

{Ask all}

LASta5

I don't have the confidence to learn new skills

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. (Do not prompt) Don't know

{Ask all}

LASta6

Learning isn't for people like me

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. (Do not prompt) Don't know

{Ask all}

LASta7

I'm not interested in doing any more learning, education or training

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree

6. (Do not prompt) Don't know

COMBINED INTERVIEWS SECTION

{Ask if qtype = combined; others skip to I1Att1}

Prison regime

{Ask all combined}

J2Cell

I now want to ask you about your time in prison for this current sentence.

Do you currently sleep in a... READ OUT

1. ...a cell
2. ...a room
3. ...or a dormitory?

{Ask if J1Cell= cell or room}

J2Share

Do you share your cell/room?:

1. Yes
2. No

{Ask if J1Share=yes}

J2ShrN

How many others do you share with? : 1..97

{Ask all combined}

J2Lock

How many hours did you spend locked up yesterday (ie time you were not allowed out of a cell/room/dormitory)?:0..24

{Ask all combined}

J2CellH

And in total, how many hours did you actually spend in your cell/room/dormitory yesterday?:
0..24

J2Day

INTERVIEWER: Code day

1. Monday
2. Tuesday
3. Wednesday
4. Thursday
5. Friday
6. Saturday
7. Sunday

{Ask if A2Sex= female and combined}

J2Baby

Have you been in a mother and baby unit during custody?

1. Yes
2. No

{Ask all combined}

J2RegL

What level of regime are you on currently?

1. Basic
2. Standard
3. Enhanced
4. Don't know

{Ask all combined}

J2Puni

SHOWCARD J1

Have you received any of these punishments during your current sentence?

CODE ALL THAT APPLY

1. Additional days
2. Loss of privileges
3. Closed visits
4. Loss of earnings
5. Caution
6. Different regime
7. Restriction/stoppage of visits
8. Cell confinement
9. Loss of home leave
10. Re-categorisation
11. Exclusion from prison work
12. Some other punishment
13. No, none of these

Prison work

{Ask all combined}

J2Work

Have you done any paid work during your current sentence, this is from <month> <year>?

Please do not include any training or education courses which you were paid to do.

1. Yes
2. No

{Ask if J2Work=yes}

J2Wtyp

What sort of work have you done?

CODE ALL THAT APPLY

1. Packing
2. Engineering (including welding or machining)
3. Carpentry/woodwork
4. Any other production or manufacturing
5. Data entry
6. Kitchen work
7. Cleaning
8. Laundry
9. Works department (including minor maintenance, painting or decorating)
10. Farming/gardening
11. Library
12. Another type of work

{Ask if J2Work=yes}

J2Earn

How much are you/were paid each week for this work?:

IF MORE THAN ONE JOB, ENTER COMBINED PAY

INTERVIEWER: Enter amount in pounds and pence. 0.00..997.00

BLOCK L – Custodial interventions

The next questions ask about any treatment, education, training or other help that you may have received during your sentence, that is, from <month> <year>.

{Ask all combined}

L2Hlthcar

Have you been assessed by a healthcare worker during your current sentence?

1. Yes
2. No

{Ask all combined}

L2CaratA

Have you been assessed by a CARAT worker during your current sentence?

INTERVIEWER ADD IF NECESSARY: CARAT stands for Counselling, Assessment, Referral, Advice and Throughcare.

1. Yes
2. No

{Ask all combined}

L2VDTs

Have you participated in voluntary drug testing during your current sentence?

1. Yes
2. No

{Ask all combined}

L2AsEd

Have you been assessed for education and training at any time during your sentence?

1. Yes
2. No

{Ask all combined}

L2Prog

Did you receive any of these programmes or courses during your sentence?

CODE ALL THAT APPLY

1. Treatment or counselling for a drug or alcohol problem
2. Help to control anger or violent behaviour (e.g CALM, CSCP, or Healthy Relationships)
3. Help to understand yourself and stop your offending (e.g. ETS, Think First, FOR)
4. An education course to help your reading, writing, maths/numbers or English speaking skills
5. Training for a particular type of job (e.g. carpentry, painting & decorating, catering)?
6. Treatment for a physical medical problem (NOT for a drug or alcohol problem)
7. Help or treatment for a mental health or emotional problem (NOT for a drug or alcohol problem)

{Ask if L2Prog=1}

L2DgTrm

What type(s) of treatment or counselling for a drug or alcohol problem have you received during your current sentence?

CODE ALL THAT APPLY

1. Detox
2. Rehab programme e.g. TC, RAPt, 12 step, Ley Community
3. Group counselling – NOT part of a rehab programme – e.g. AA/NA, PASRO, SDP (Short Duration Programme)
4. Individual or one-to-one counseling
5. Prescribed methadone or buprenorphine (Subutex) maintenance (NOT a detox)
6. Naltrexone (to prevent relapse to heroin use)
7. Another type of treatment or counselling – specify

{Ask if L2Prog=1}

L2RsTrm

And was this for...READ OUT

1. A drug problem
2. An alcohol problem
3. Both a drug and alcohol problem

{Ask if L2Prog=2}

L2AnPr

Which programme(s) to help control anger or violent behaviour have you been on during your current sentence?

CODE ALL THAT APPLY

1. CALM (Controlling Anger and Learning to Manage it)
2. CSCP (Cognitive Self Change Programme)
3. Healthy Relationships
4. Other – specify
5. Don't know

{Ask if L2Prog=3}

L2UnPr

Which programme(s) to help you understand yourself and stop your offending have you been on during your current sentence?: 1..97

CODE ALL THAT APPLY

1. ETS
2. Cognitive Skills Booster
3. Think First
4. R&R
5. FOR (Focus on Resettlement)
6. Other (specify)
7. Don't know

BLOCK M – Basic skills

{Ask if L2Prog=4}

MSks

Which types skills have you received help for during your current sentence?

CODE ALL THAT APPLY

1. Reading or writing
2. Numbers/maths
3. Speaking English

{Ask if L2Prog=4}

Mclass

And is/was your course classroom based?

INTERVIEWER NOTE: By this we mean taught in a classroom. :

1. Yes – most of the time
2. Yes – some of the time
3. No

{Ask if L2Prog=4}

MTWrk

And does/did the tutor work with you... READ OUT...

CODE ALL THAT APPLY

1. ...by yourself
2. ...or, as part of a group or class?

{Ask if L2Prog=4}

MJob

Do/did you do anything which was related to working or getting a job as part of this course?

1. Yes
2. No

BLOCK O – Release

READ OUT

Now, I would like to ask you some questions about your release and your plans for the future.

{Ask all combined}

O2Rel

First can I check, on what date are you due to be released?: DD/MM/YY

{Ask all combined}

O2CtP

Can I just check, during your time in custody have you had any contact with a Probation Officer?

1. Yes
2. No

{Ask all combined}

O2AppP

And do you have an appointment with a Probation Officer after release?

1. Yes
2. No
3. Don't know

Accommodation for release

{Ask all combined}

O2Add

Have you got an address to go to immediately after your release, even if it is only temporary?

1. Yes
2. No

{Ask if O2Add=yes or not state/refused}

O2AdSme

Is this where you were living before you came into custody?

1. Yes
2. No

{Ask if O2AdSme=no}

O2AdArea

Is it in the same area as where you were living before you came into custody?

1. Yes
2. No

{Ask if O2AdArea=no}

O2AreaY

Why are you going to live in a different area?

CODE ALL THAT APPLY

1. To help keep off drugs
2. To help cut down or stop drinking
3. To help avoid re-offending/stay out of trouble
4. To be near family/friends
5. To start a new life/have a fresh start
6. Because people who will be living with have moved
7. Because I couldn't find anywhere to live in other area
8. Will only be temporarily living there, intend to move back
9. Another reason – specify

{Ask if O2AreaY=other}

O2AreaO

INTERVIEWER: Record other reason: OPEN

{Ask if O2AdSme=no}

O2Accom

SHOWCARD O2

Which of these describes where you will be living?

1. In a house or flat that you (or your partner) owned
2. In a house or flat that you (or your partner) rented
3. In a house or flat that you (or your partner) part rented and part owned (shared ownership)
4. Paying board in a house or flat owned or rented by someone else
5. Living rent free in a house or flat owned or rented by someone else (but not in a squat)
6. In a squat
7. In a probation or bail hostel
8. In a DSS or other hostel
9. In supported accommodation (e.g. sheltered housing, refuge or foyer)
10. In any other temporary accommodation (e.g. B&B, night shelter)
11. Homeless and sleeping rough
12. Other – specify

{Ask if O2Accom=other}

O2AccomO

INTERVIEWER: Record other type of accommodation.: OPEN

{Ask if O2Accom=2,3,4,5}

O2LLord

Is the property rented from a Local Authority, housing association or private landlord?

1. Local Authority
2. Housing association
3. Private landlord

{Ask if O2Add=yes}

O2LivW

And who will you be living with?

CODE ALL THAT APPLY

1. Nobody – living alone
2. Husband/wife/partner/boyfriend/girlfriend
3. Parents/in-laws
4. Adult children (aged 18+)
5. Dependent children (aged under 18)
6. Other adult relations
7. With friends

{Ask if O2Add=yes}

O2AdDur

How long do you think you will be living there?

1. Less than one month
2. One month or more, but less than six months
3. Six months or more
4. Don't know

{Ask if O2Add=no or don't know or not stated/refused}

O2Nadd

Are you hoping to find somewhere in the same area as you lived before you went into custody?

1. Yes
2. No
3. Don't mind/no preference

{Ask if O2NAdd=no}

O2NArY

Why are you looking to move to a different area?

CODE ALL THAT APPLY

1. To help keep off drugs
2. To help cut down or stop drinking
3. To help avoid re-offending/stay out of trouble
4. To be near family/friends
5. To start a new life/have a fresh start
6. Because people who will be living with have moved
7. Another reason – specify

{Ask if O2NArY=other}

O2NArYo

INTERVIEWER: Record other reason: OPEN

{Ask if O2Add=no or don't know or not stated}

O2NAcc

SHOWCARD 02

What do you expect your living arrangements to be after your release?

1. In a house or flat that you (or your partner) owned
2. In a house or flat that you (or your partner) rented
3. In a house or flat that you (or your partner) part rented and part owned (shared ownership)
4. Paying board in a house or flat owned or rented by someone else
5. Living rent free in a house or flat owned or rented by someone else (but not in a squat)
6. In a squat
7. In a probation or bail hostel
8. In a DSS or other hostel
9. In supported accommodation (e.g. sheltered housing, refuge or foyer)
10. In any other temporary accommodation (e.g. B&B, night shelter)
11. Homeless and sleeping rough
12. Other – specify

{Ask if O2NAcc=other}

O2NAccO

INTERVIEWER: Record the other type of accommodation: OPEN

{Ask all combined}

O2AcHlp

Have you received any support or advice for housing problems, including rent and housing benefit, during your sentence?

1. Yes
2. No

{Ask if O2AcHlp=yes}

O2AcHTy

What was this help for?

CODE ALL THAT APPLY

1. Problems with your tenancy
2. Housing benefit
3. Rent arrears
4. Finding somewhere to stay after release
5. Other – specify

{Ask if O2AcHTy=other}

O2AcHTyO

INTERVIEWER: Record other type of help: OPEN

{Ask if O2AcHlp=yes}

O2AcHlpW

SHOWCARD O3

Who provided this help?

CODE ALL THAT APPLY

1. Staff on pre-release programme
2. Prison service/prison officer/resettlement officer
3. Probation service
4. Voluntary organisation (such as NACRO)
5. Family or friends
6. Local Authority Housing Department
7. Local Authority Social Services department
8. An independent advice service
9. Solicitor

10. Someone else – specify

{Ask if O2AcHTy=other}

O2AcHWO

INTERVIEWER: Record other source of help: OPEN

{Ask if O2AcHlp=no or not stated/refused}

O2AchLa

Would you have liked support or advice for housing problems, including rent and housing benefit, during your sentence?

1. Yes
2. No

Employment, training and education after release

{Ask all combined}

O2Ete

During your sentence, have you received any help to look for a job, training or education for after your release?

1. Yes
2. No

{Ask if O2Ete=yes}

O2Ete1-4

What was this help for?

CODE ALL THAT APPLY

1. Finding a job
2. Training
3. Education

{Ask if O2Ete=yes}

O2Etem

Who provided this help?

CODE ALL THAT APPLY

1. Staff on a pre-release programme
2. Staff from prison education department
3. Other prison staff/prison officer/resettlement officer
4. Probation officer
5. Voluntary organisation (such as NACRO)
6. Job centre
7. Former employer
8. Family or friends or other informal contacts outside prison
9. Local Authority
10. Private employment agency
11. An independent advice service
12. Someone else – specify

{Ask if O2Ete=no or not stated/refused}

O2EteAR

Would you have liked help to look for a job, training or education for after your release?

1. Yes
2. No

{Ask all combined}

O2Job

I'd now like to ask you about working. Do you have a paid job to go to when you are released?

1. Yes
2. No

{Ask if O2Job=yes}

O2JbSme

Is this the same job as you had before you went into custody?

1. Yes
2. No

{Ask if O2JbSme=no or not stated/refused}

O2Ind

What does the firm/organisation you will be working for mainly make or do? OPEN

{Ask if O2JbSme=no or not stated/refused}

O2Title

What will be your job title? OPEN

{Ask if O2JbSme=no or not stated/refused}

O2Wtyp

And what type of work will you be doing? OPEN

{Ask if O2JbSme=no or not stated/refused}

O2Emp

Will you be employed or self-employed

1. Employed
2. Self-employed

{Ask if O2JbSme=no or not stated/refused}

O2FTPT

Will you be working full-time or part-time?

1. Full time
2. Part time
3. Don't know

{Ask if O2JbSme=no or not stated/refused}

O2Temp

Will this job be temporary or permanent?

1. Temporary
2. Permanent
3. Don't know

{Ask if O2JbSme=no or not stated/refused}

O2JbHlp

SHOWCARD 04

How did you hear about this job?

1. Through a prison job club
2. Through contact with a job centre
3. Through contact with another employment agency
4. Through prison pre-release/resettlement programme
5. Through a probation officer
6. Through prison education department

7. Through a former employer
8. Through direct contact with employer
9. Saw an advert when on home leave
10. Through a fellow inmate
11. Through friends, family or other contacts outside of prison
12. In another way – specify

{Ask if O2JbHlp=other}

O2JbHlpO

INTERVIEWER: RECORD Other way.: OPEN

{Ask if O2Job=no or don't know or not stated/refused}

O2Train

Do you have a place on a training or education course to go to when you are released?
Please include any place you have on a Government training scheme.

1. Yes
2. No

{Ask if O2Train=yes}

O2TrNm

What is the course or training scheme called?: OPEN

{Ask if O2Train=yes}

O2TrFt

Is this course full time or part time?

1. Full time
2. Part time
3. Don't know

{Ask if O2Train=yes}

O2TrLng

How long is the course for?

1. Up to 1 week
2. More than 1 week, up to 2 weeks
3. More than 2 weeks, up to 1 month
4. More than 1 month, up to 3 months
5. More than 3 months, up to 6 months
6. More than 6 months, up to 12 months
7. More than 12 months

{Ask if O2Job=no and O2Train=no}

O2Look

Are you, or will you be looking for a paid job or a training course to go to when you are released?

1. Yes
2. No

{Ask if O2Look=no}

O2NLkY

What, if anything, is stopping you from getting looking for work or training for when you are released? DO NOT PROMPT. PROBE: What else? MULTICODE OK

Personal

I am too old

I am too young
Childcare is not available
I have caring responsibilities (other than for children)
Want to look after children
Language difficulties
Short-term illness, disability or infirmity
Long-standing disability, illness or infirmity (anything that has troubled you over a period of time or that is likely to trouble you at least over the next year)

Discrimination

Sexual discrimination
My ethnic origin/racial discrimination
Because of my sexual preference
Because of my religion

Skills/Training

I do not have sufficient skills and experience
I do not have the right skills and experience
I do not have sufficient qualifications
I do not have the right qualifications

Type of work available

No jobs available
No suitable jobs available

Financial

I can't afford childcare
Pay too low
Loss of benefits

Information

Too little information on what is available
Lack of information/support for self-employment
I can't get the right kind of help
Jobs get filled by word of mouth/on the grapevine

Other

Problems with transport/lack of transport
Unable to move to find a (new) job
An address in this area makes it difficult for people to get job interviews
Afraid to leave my home unattended
Other (SPECIFY)

No reason

Don't know

{Ask if O2NLkY=other}

O2NLkYO

INTERVIEWER: Record other reason.:OPEN

Advice

{Ask all combined}

O2CtOrg

SHOW CARD D (Lists advisers below)

During your current sentence, have you tried to contact any of these people or organisations to obtain advice or information to help you resolve a problem or dispute?

CODE ALL THAT APPLY

1. Independent advice agency (e.g. Citizens Advice, Law Centre)
2. A solicitor or barrister
3. Police or prison staff

4. Probation staff
5. Trade union
6. Other
7. Did not try to contact

{Ask if O2CtOrg=1-6}

O2CtProb

Was this for...

1. A crime related problem
2. A non-crime related problem (financial problems, housing issues, family difficulties etc.)
3. Both crime and non-crime related problems

{Ask if O2CtOrg=1-6}

O2CtHow

How did you try to contact these people or organisations?

CODE ALL THAT APPLY

1. In person, they visited the prison
2. In person, while away from the prison
3. By telephone
4. By letter
5. E-mail/internet
6. Other

{Ask if O2CtOrg=1-6}

O2AdvO

Were you able to obtain advice or information?

1. Yes
 2. No
- Don't know

{Ask if O2AdvO=yes or don't know}

O2AHip

And was the advice or information you obtained helpful in your efforts to resolve the problem?

1. Yes
 2. No
- Don't know
Not stated/Refused

Attitudes/motivation (ASK ALL)

{Ask all}

I1Att1

SHOWCARD P6

Now I am going to read out a few statements and I would like you to say how strongly you agree or disagree with each of them. [READ OUT if necessary: Please remember that your answer is treated in the strictest confidence and that none of this information will be passed to anyone in the prison or to any government agency that can identify you as an individual.]

In the end, crime does pay. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree

5. Strongly disagree
6. Don't know

{Ask all}

I1Att2

I have never hurt anyone by what I've done. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att3

I will always get into trouble. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att4

Crime has now become a way of life for me. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att5

Crime can be a useful way of getting what you want. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att6

I believe in living for now; the future will take care of itself. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att7

Most people would commit offences if they knew they could get away with it. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att8

I definitely won't get into trouble with the police after my release. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att9

I don't see myself as a real 'criminal'. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att10

Committing crime is quite exciting. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att11

I find it hard to resist an opportunity to commit a crime. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att12

Many so-called crimes are not really wrong. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree

5. Strongly disagree
6. Don't know

{Ask all}

I1Att13

My crimes have never harmed anyone. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att14

If things go wrong for me, I might offend again. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att15

I am not really a criminal. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att16

I always seem to give in to temptation. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. 6. Don't know

{Ask all}

I1Att17

When people have no money, they can't be blamed for stealing. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att18

There was no victim of my offence(s). Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att19

I wouldn't commit the offence(s) again. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1Att20

Once a criminal, always a criminal. Do you...

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't know

{Ask all}

I1AttF

SHOWCARD P7.

Realistically, how likely do you think it is that you'll be back in prison at some time in the future?

1. Not at all likely
2. Not very likely
3. Fairly likely
4. Very likely
5. Don't know

{Ask all}

I1Prev

SHOWCARD P8

Looking at this card, can you tell me which of these things, if any, will be important in stopping you re-offend in the future?

CODE ALL THAT APPLY

1. Having a place to live
2. Having a job
3. Having access to health care
4. Having enough money to support myself
5. Not using drugs
6. Not drinking too much alcohol
7. Having treatment and support for drug or alcohol problems
8. Getting support from my family
9. Seeing my children
10. Getting support from my friends

11. Avoiding certain people
12. Fear of returning to prison
13. Something else – specify
14. None of these

That is the end of the interview. Thank you very much.

Recontact

Proceed to collect release address, telephone contact details, contact details for two stable contacts, probation officer details.

Complete post-interview consent forms

Ministry of Justice Research Series 5/12
Surveying Prisoner Crime Reduction (SPCR)
Wave 1 (Reception) Samples 1 and 2
Technical Report

This report summarises the methodologies used to sample and interview prisoners for Wave 1 of Surveying Prisoner Crime Reduction (SPCR), a longitudinal cohort study of adult prisoners sentenced to between one month and four years in England and Wales in 2005 and 2006. Wave 1 was conducted on reception to prison, and was followed by Wave 2 (on release), and Waves 3 and 4 (after release). SPCR aimed to assess prisoners' problems and needs on reception, how these were addressed, and the effect of interventions on offending and other outcomes, in light of prisoners' background characteristics, after release from prison.

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